

FAMILIES & SOCIAL CARE DIRECTORATE SUMMARY
CHILDREN'S SERVICES SUMMARY
SEPTEMBER 2013-14 MONITORING REPORT

1. REVENUE

1.1		Cash Limit	Variance Before Mgmt Action	Management Action	Net Variance after Mgmt Action
	Total excl Asylum (£k)	+152,688	+3,533	-1,035	+2,498
	Asylum (£k)	+280	+383	-	+383
	Total (£k)	+152,968	+3,916	-1,035	+2,881

1.2 **Table 1** below details the revenue position by A-Z budget:

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
Specialist Children's Services portfolio						
Strategic Management & Directorate Support budgets	5,979.8	-175.0	5,804.8	-272	-344 underspend on Commissioning staffing budget +72 Other small minor variances	
Children's Services - Children in Care (Looked After)						
- Fostering	38,164.1	-336.0	37,828.1	+818	+102 In House: Forecast 267 weeks above affordable level +385 In House: Forecast unit cost £7.05 above affordable level -97 In House: management action to reduce pressure +21 In House: Other small minor variances +979 Independent Sector (IFA): Forecast 1,050 weeks above affordable level -68 Independent Sector (IFA): Forecast unit cost £6.36 below affordable level	Management action is in place to speed up and increase the number of adoptions therefore reducing the demand on in house fostering.

ANNEX 2

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP	
	Gross	Income	Net	Net			
	£'000	£'000	£'000	£'000	£'000		
					-336	Fostering: management action to reduce pressure	The recent in-house fostering recruitment campaign is expected to result in more in-house and fewer independent sector placements, which will reduce costs. Also, new IFA placements will be purchased under a new framework contract which should result in lower cost placements. This will be reflected in the forecast activity shown in sections 2.2 & 2.3 once there is evidence that this management action is starting to take effect.
					-195	Small reduction in fostering related payments, and Kinship placements	
					+27	Other small minor variances	
- Legal Charges	7,345.4	0.0	7,345.4	+982	+682	Increase in legal fees and court charges, due to an increase in number of proceedings.	This pressure will need to be addressed in the 2014-17 MTFP
					+300	Increase in court fee pricing	
- Residential Children's Services	15,371.2	-1,799.9	13,571.3	+36	+66	Independent residential care for Disabled Children: Forecast 21 weeks above affordable level of 2,384	
					-220	Independent residential care for Disabled Children: Forecast unit cost - £92.44 below affordable level of £3,249.20	
					+130	Independent residential care for Disabled Children: reduction in income	
					-38	Secure Accommodation: reduction in placements	
					+98	Other small minor variances	
- Virtual School Kent	2,163.6	-718.9	1,444.7	-16			
	63,044.3	-2,854.8	60,189.5	+1,820			

Budget Book Heading	Cash Limit			Variance	Explanation		Management Action/ Impact on MTFP
	Gross	Income	Net	Net			
	£'000	£'000	£'000	£'000	£'000		
Children's Services - Children in Need							
- Children's Centres	15,957.4	-112.6	15,844.8	-656	-656	Minor variances spread across the 97 centres	
- Preventative Services	16,098.0	-1,559.0	14,539.0	+719	+583 +116 +109 -89	Pressure on commissioned services Increase in direct payments Staffing pressure Other small minor variances	
	32,055.4	-1,671.6	30,383.8	+63			
Children's Services - Other Social Services							
- Adoption	11,088.7	-3,707.5	7,381.2	-56	-745 +305 +384	Underspend due to rebadging of eligible spend to the Adoption Reform Grant. Increase in number of adoption payments as a result of the management action, referred to in Fostering above, to speed up and increase the number of adoptions. Increase in number of guardianship payments partly due to a reduction in Kinship placements reported in Fostering above, together with a general increase in the number of guardianship payments.	
- Asylum Seekers	11,883.3	-11,603.3	280.0	+383	+1,207 +169 +1,199 +1,191	Pressure relating to under 18 UASC due to costs exceeding grant payable Pressure relating to under 18 UASC due to ineligibility Pressure relating to over 18's due to ineligibility, of which £780k relates to All Rights Exhausted (ARE) clients Pressure relating to over 18's due to costs exceeding grant payable (see activity section 2.6 below), of which £296k relates to ARE clients	

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
					-927	Gateway grant not required for infrastructure costs and therefore available to offset other pressures
					-2,456	Invoice to Home Office for net pressures outlined above, excluding costs for the first 25 care leavers, naturalised clients, care leavers age 21 and over not in education and care leavers age 24 and over (as these clients either fall within KCC's social care responsibilities or we should no longer be supporting them at all)
- Leaving Care (formerly 16+)	4,555.1	0.0	4,555.1	+1,262	+254	Pressure on staffing budgets
					+1,008	Additional young people requiring this service, in order to provide stability and continuity whilst they continue their education.
- Safeguarding	4,679.4	-495.5	4,183.9	+119	+115	Pressure on staffing
					+4	Other small minor variances
	32,206.5	-15,806.3	16,400.2	+1,708		
<u>Assessment Services</u>						
- Children's social care staffing	45,247.8	-5,058.5	40,189.3	+597	+597	Pressure on staffing budgets. Partly due to appointment of agency staff to bridge the gap until new cohort of social workers take up posts in October
Total SCS portfolio	178,533.8	-25,566.2	152,967.6	+3,916		

ANNEX 2

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
Assumed Mgmt Action						
- SCS portfolio				-1,035		A management action plan was drawn up a few weeks ago, which is hoped will reduce expenditure on some non-essential expenditure, and reduce some agency staff costs for non-front line social work posts. Until further financial evidence is seen of the success of this, the balance of £1,035k is shown here as one figure. It is anticipated that within the next monitoring report this will be shown against the relevant budget lines.
Total Forecast <u>after</u> mgmt action	178,533.8	-25,566.2	152,967.6	+2,881		

2. KEY ACTIVITY INDICATORS AND BUDGET RISK ASSESSMENT MONITORING

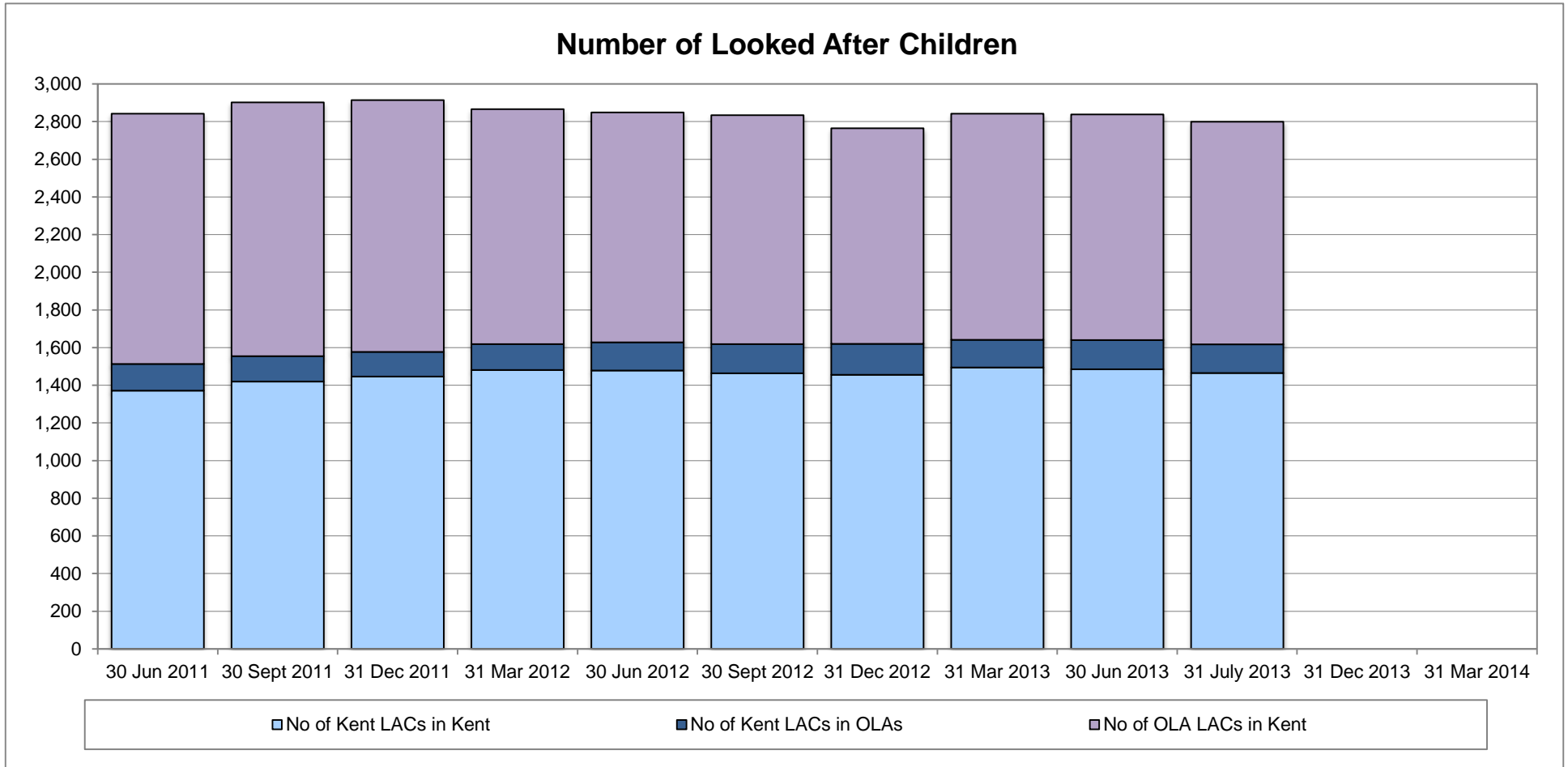
2.1 Number of Looked After Children (LAC) :

		No. of Kent LAC placed in Kent	No. of Kent LAC placed in OLAs	TOTAL NO. OF KENT LAC (excluding Asylum)	No. of OLA LAC placed in Kent	TOTAL NUMBER OF LAC IN KENT
2011-12	30-Jun	1,371	141	1,512	1,330	2,842
	30-Sep	1,419	135	1,554	1,347	2,901
	31-Dec	1,446	131	1,577	1,337	2,914
	31-Mar	1,480	138	1,618	1,248	2,866
2012-13	30-Jun	1,478	149	1,627	1,221	2,848
	30-Sep	1,463	155	1,618	1,216	2,834
	31-Dec	1,455	165	1,620	1,144	2,764
	31-Mar	1,494	147	1,641	1,200	2,841
2013-14	30-Jun	1,485	155	1,640	1,197	2,837
	30-Sep	1,465	152	1,617	1,182	2,799
	31-Dec					
	31-Mar					

Comments:

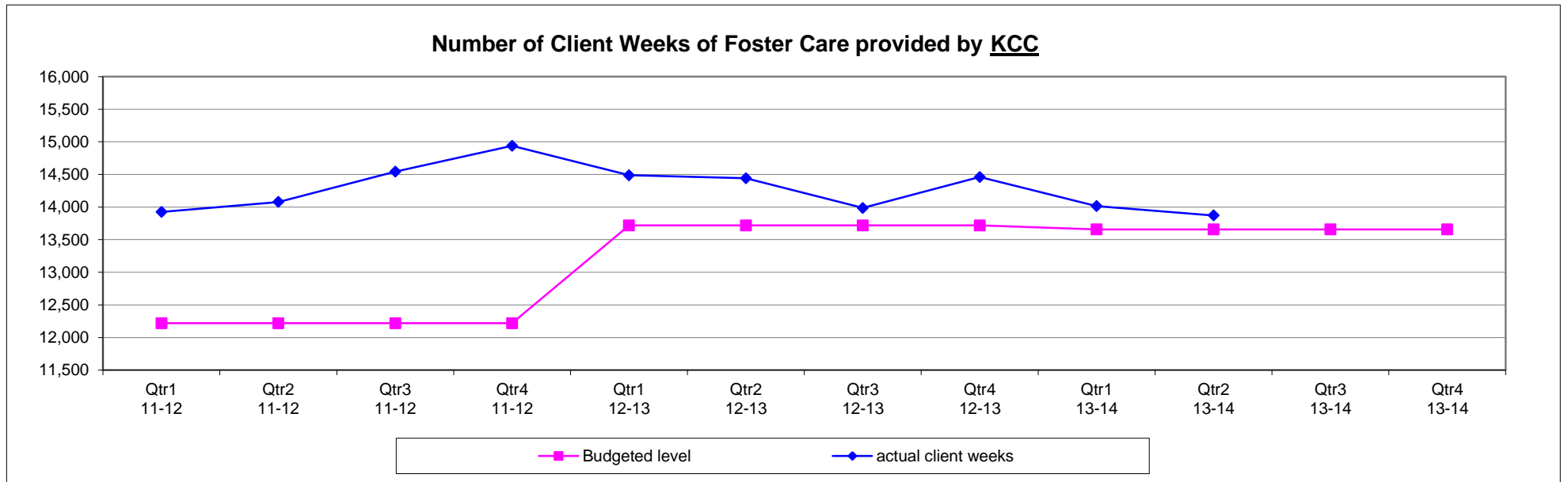
- Children Looked After by KCC may on occasion be placed out of the County, which is undertaken using practice protocols that ensure that all long-distance placements are justified and in the interests of the child. All Looked After Children are subject to regular statutory reviews (at least twice a year), which ensures that a regular review of the child's care plan is undertaken.
- The figures represent a snapshot of the number of children designated as looked after at the end of each quarter, it is not the total number of looked after children during the period. Therefore, although the number of Kent looked after children has reduced by 24 this financial year, there could have been more (or less) during the period.
Although the overall snapshot number of looked after children has reduced since the last report, the numbers within each placement grouping have also changed, with a small increase in higher cost placements such as Independent Sector Fostering, but a reduction in lower cost placements such as Placed for Adoption, resulting in an overall increase in the pressure on the Specialist Children's Services budget.
- The generally higher number of looked after children since the 2013-14 budget was set (Q3 12/13) has placed additional pressure on the services for looked after children, including fostering and residential care. £1.5m of rolled forward underspending from 2012-13 was approved by Cabinet on 15 July to address this issue.

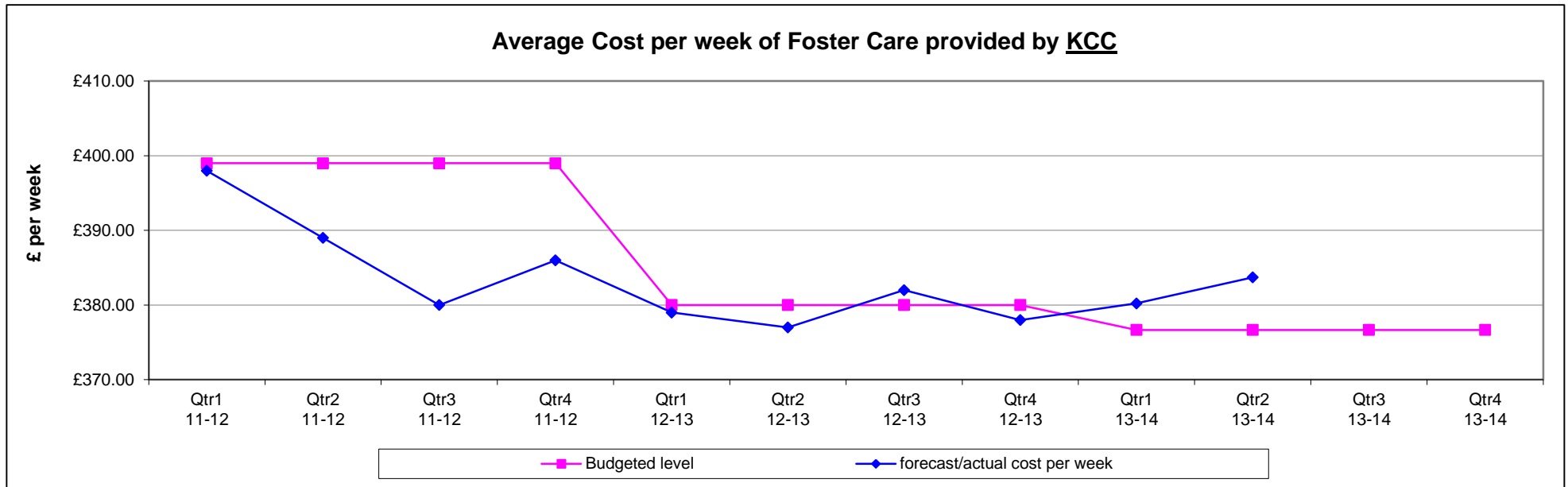
- The OLA LAC information has a confidence rating of 70% and is completely reliant on Other Local Authorities keeping KCC informed of which children are placed within Kent. The Management Information Unit (MIU) regularly contact these OLAs for up to date information, but replies are not always forthcoming. This confidence rating is based upon the percentage of children in this current cohort where the OLA has satisfactorily responded to recent MIU requests.
- This information on number of Looked After Children is provided by the Management Information Unit within FSC Directorate.



2.2 Number of Client Weeks & Average Cost per Client Week of Foster Care provided by KCC:

	2011-12				2012-13				2013-14			
	No of weeks		Average cost per client week		No of weeks		Average cost per client week		No of weeks		Average cost per client week	
	Budget level	actual	Budget level	forecast /actual	Budget level	actual	Budget level	forecast /actual	Budget level	actual	Budget level	forecast
Apr to Jun	12,219	13,926	£399	£398	13,718	14,487	£380	£379	13,659	14,014	£376.67	£380.22
Jul to Sep	12,219	14,078	£399	£389	13,718	14,440	£380	£377	13,658	13,871	£376.67	£383.72
Oct to Dec	12,219	14,542	£399	£380	13,718	13,986	£380	£382	13,658		£376.67	
Jan to Mar	12,219	14,938	£399	£386	13,718	14,462	£380	£378	13,658		£376.67	
	48,876	57,484	£399	£386	54,872	57,375	£380	£378	54,633	27,885	£376.67	£383.72



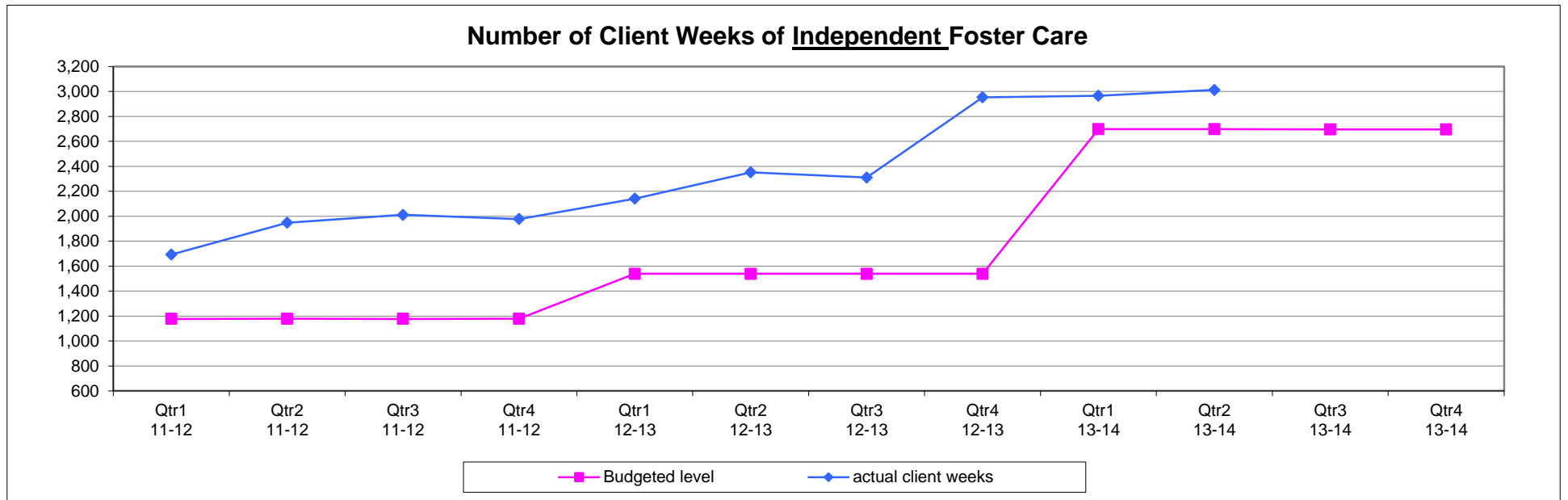


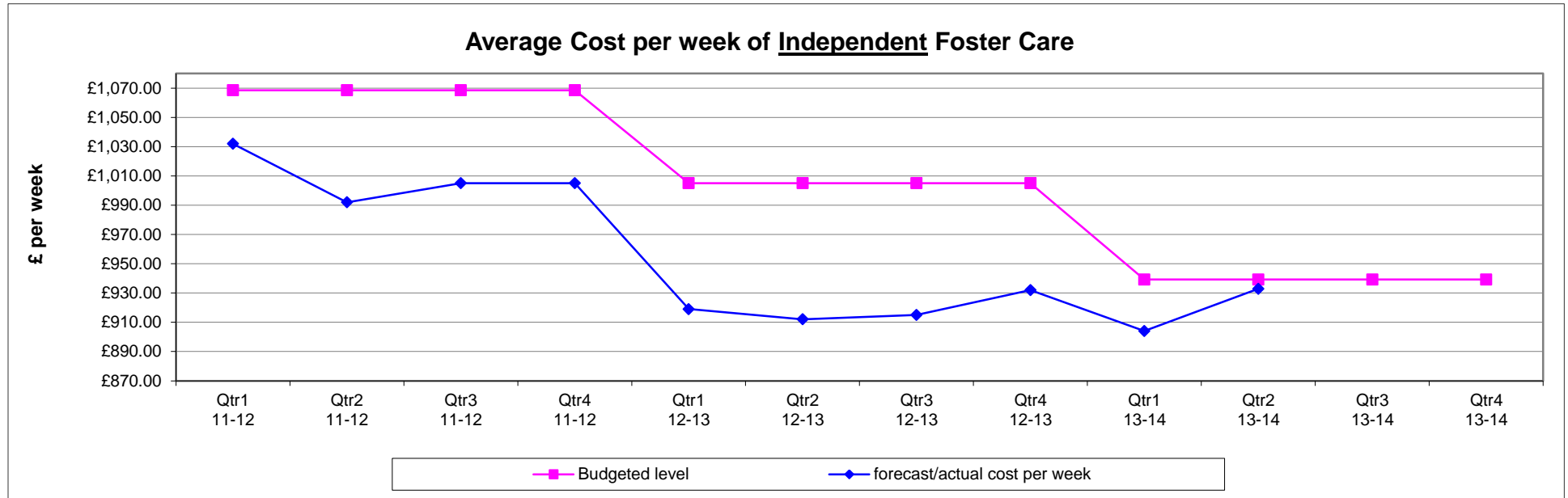
Comments:

- The actual number of client weeks is based on the numbers of known clients at a particular point in time. This may be subject to change due to the late receipt of paperwork.
- The budgeted level has been calculated by dividing the budget by the average weekly cost. The average weekly cost is also an estimate based on financial information and estimates of the number of client weeks and may be subject to change.
- The 2013-14 budgeted level has changed from what was reported to Cabinet on 15 July in the 2012-13 outturn report, reflecting the realignment of budgets reported to Cabinet on 16 September.
- The forecast number of weeks is 54,900 (excluding asylum), which is 267 weeks above the affordable level. At the forecast unit cost of £383.72 per week, this increase in activity gives a pressure of £102k, as shown in table 1.
- The number of forecast weeks appears low compared to actual weeks to date, which is due to a general reduction in the number of looked after children as reflected in section 2.1 above.
- The forecast unit cost of £383.72 is +£7.05 above the budgeted level and when multiplied by the budgeted number of weeks, gives a pressure of +£385k, as shown in table 1.
- Overall therefore, the combined gross pressure on this service is £487k (£102k + £385k).

2.3 Number of Client Weeks & Average Cost per Client Week of Independent Foster Care:

	2011-12				2012-13				2013-14			
	No of weeks		Average cost per client week		No of weeks		Average cost per client week		No of weeks		Average cost per client week	
	Budget level	actual	Budget level	forecast /actual	Budget level	actual	Budget level	forecast /actual	Budget level	actual	Budget level	forecast
Apr to Jun	1,177	1,693	£1,069	£1,032	1,538	2,141	£1,005	£919	2,697	2,964	£939.19	£904.01
Jul to Sep	1,178	1,948	£1,069	£992	1,538	2,352	£1,005	£912	2,697	3,012	£939.19	£932.83
Oct to Dec	1,177	2,011	£1,069	£1,005	1,538	2,310	£1,005	£915	2,696		£939.19	
Jan to Mar	1,178	1,977	£1,069	£1,005	1,538	2,953	£1,005	£932	2,696		£939.19	
	4,710	7,629	£1,069	£1,005	6,152	9,756	£1,005	£932	10,786	5,976	£939.19	£932.83



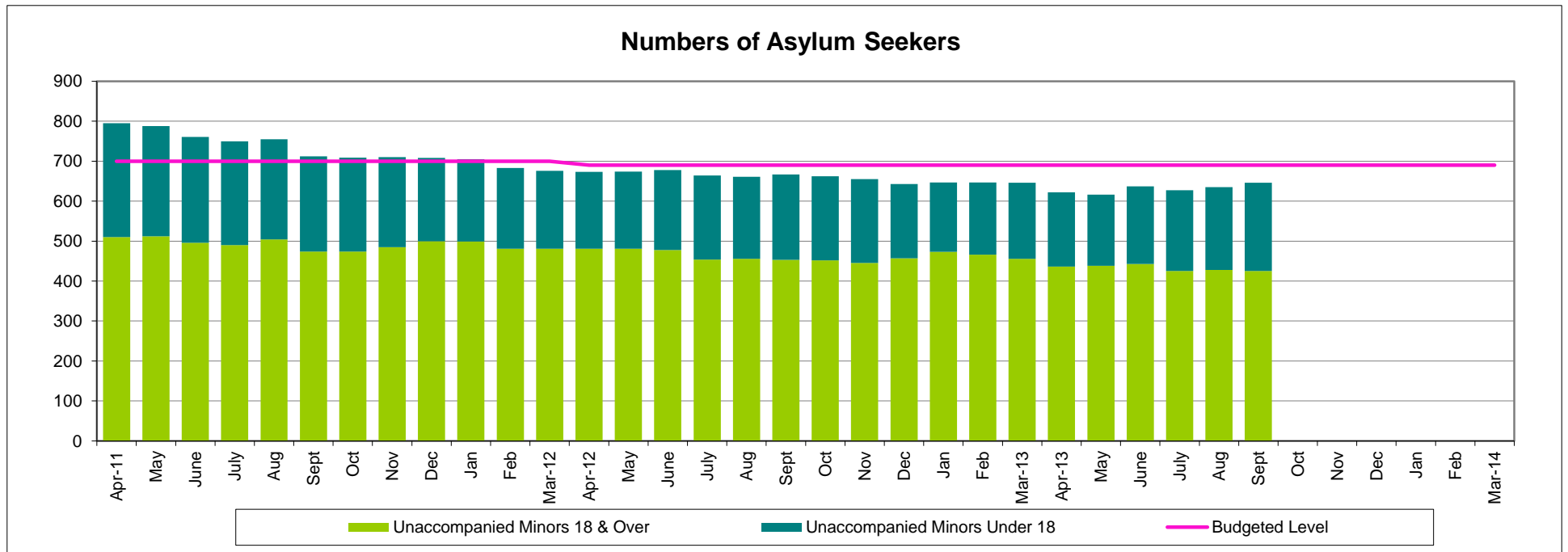


Comments:

- The actual number of client weeks is based on the numbers of known clients at a particular point in time. This may be subject to change due to the late receipt of paperwork.
- The budgeted level has been calculated by dividing the budget by the average weekly cost. The average weekly cost is also an estimate based on financial information and estimates of the number of client weeks and may be subject to change.
- The 2013-14 budgeted level has changed from what was reported to Cabinet on 15 July in the 2012-13 outturn report, reflecting the realignment of budgets reported to Cabinet on 16 September.
- The forecast number of weeks is 11,836 (excluding asylum), which is 1,050 weeks above the affordable level. At the forecast unit cost of £932.83 per week, this increase in activity gives a pressure of £979k as shown in table 1.
- The forecast unit cost of £932.83 is -£6.36 below the budgeted level and when multiplied by the budgeted number of weeks, gives a saving of -£68k as shown in table 1.
- Overall therefore, the combined gross pressure on this service is £911k (£979k - £68k)
- The forecast average unit cost of £932.83 includes some mother and baby placements, which are subject to court orders. These placements often cost in excess of £1,500 per week.
- The IFA Framework contract commenced in June 2013 and unit costs are expected to reduce as a result of this. However, since the last report there have been a number of high cost disability placements which have resulted in an increase in the average unit cost, rather than a reduction as expected.

2.4 Number of Unaccompanied Asylum Seeking Children (UASC):

	2011-12			2012-13			2013-14		
	Under 18	18 & Over	Total	Under 18	18 & Over	Total	Under 18	18 & Over	Total
Apr	285	510	795	192	481	673	186	436	622
May	276	512	788	193	481	674	178	438	616
Jun	265	496	761	200	478	678	194	443	637
Jul	260	490	750	210	454	664	202	425	627
Aug	251	504	755	205	456	661	207	428	635
Sep	238	474	712	214	453	667	221	425	646
Oct	235	474	709	210	452	662			
Nov	225	485	710	210	445	655			
Dec	208	500	708	186	457	643			
Jan	206	499	705	174	473	647			
Feb	202	481	683	181	466	647			
Mar	195	481	676	190	456	646			

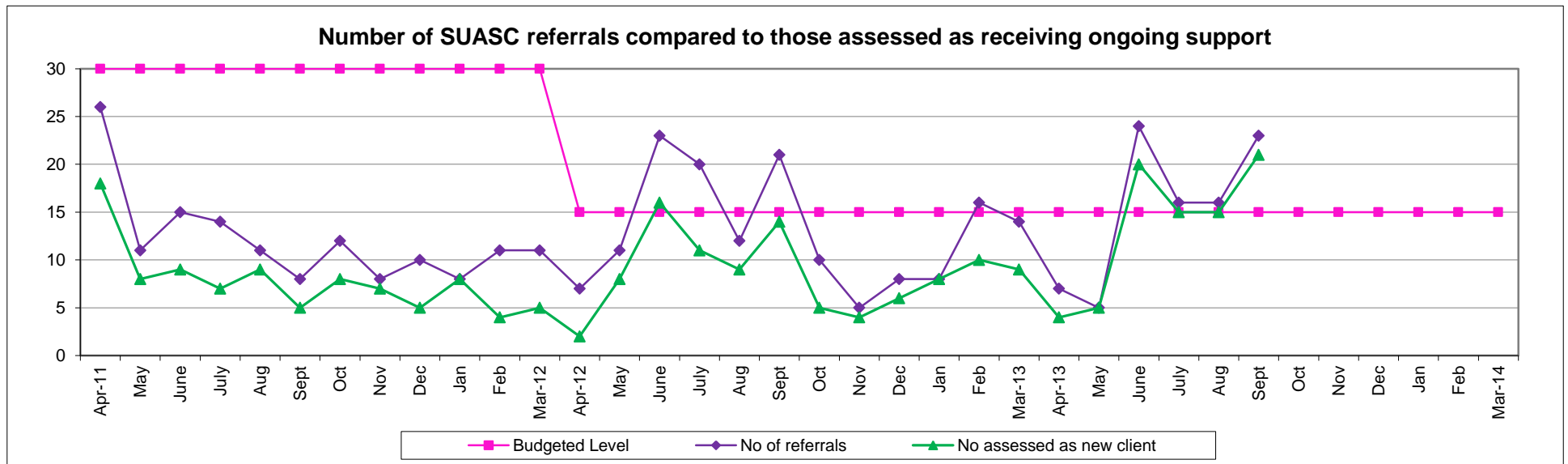


Comments:

- The overall number of children has remained fairly static so far this year with a small increase in September. The current number of clients supported is below the budgeted level of 690.
- The budgeted number of referrals for 2013-14 is 15 per month, with 9 (60%) being assessed as under 18.
- Despite improved partnership working with the UKBA, the numbers of 18 & overs who are All Rights of appeal Exhausted (ARE) have not been removed as quickly as originally planned.
- In general, the age profile suggests the proportion of 18 & overs is decreasing slightly and, in addition, the age profile of the under 18 children is increasing.
- The data recorded above will include some referrals for which the assessments are not yet complete or are being challenged. These clients are initially recorded as having the Date of Birth that they claim but once their assessment has been completed, or when successfully appealed, their category may change.
- Under 18 clients include both Looked After Children and 16 and 17 year old Care Leavers.

2.5 Number of Unaccompanied Asylum Seeking Children (UASC):

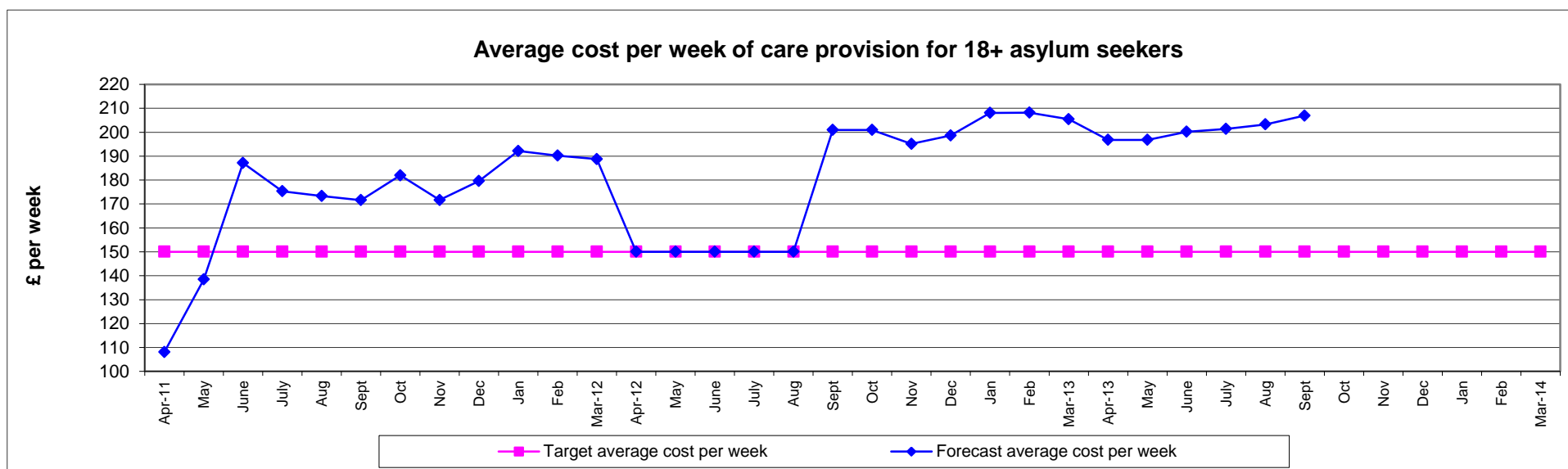
	2011-12			2012-13			2013-14		
	No of referrals	No. assessed as new client	%	No of referrals	No. assessed as new client	%	No of referrals	No. assessed as new client	%
Apr	26	18	69%	7	2	29%	7	4	57%
May	11	8	73%	11	8	73%	5	5	100%
Jun	15	9	60%	23	16	70%	24	20	83%
Jul	14	7	50%	20	11	55%	16	15	94%
Aug	11	9	82%	12	9	75%	16	15	94%
Sep	8	5	63%	21	14	67%	23	21	91%
Oct	12	8	67%	10	5	50%			
Nov	8	7	88%	5	4	80%			
Dec	10	5	50%	8	6	75%			
Jan	8	8	100%	8	8	100%			
Feb	11	4	36%	16	10	63%			
Mar	11	5	45%	14	9	64%			
	145	93	64%	155	102	66%	91	80	88%



Comments:

- The average number of referrals per month is now 15.2, which is slightly above the budgeted number of 15 referrals per month.
- The number of referrals has a knock on effect on the number assessed as new clients. The budgeted level is based on the assumption 60% of the referrals will be assessed as a new client. The average number assessed as new clients is now 88%.
- The budget assumed 9 new clients per month (60% of 15 referrals) but the average number of new clients per month is currently 13.3 i.e. a 48% increase.
- Where a young person has been referred but not assessed as a new client this would be due to them being re-united with their family, assessed as 18+ and returned to UKBA or because they have gone missing before an assessment has been completed.
- UASC Referrals are assumed to be new clients until an assessment has been completed, therefore the number of UASC assessed as new clients shown in the table above may change once the assessment has taken place.

	2011-12		2012-13		2013-14	
	Target average weekly cost £	Forecast average weekly cost £p	Target average weekly cost £	Forecast average weekly cost £p	Target average weekly cost £	Forecast average weekly cost £p
Apr	150	108.10	150	150.00	150	196.78
May	150	138.42	150	150.00	150	196.78
Jun	150	187.17	150	150.00	150	200.18
Jul	150	175.33	150	150.00	150	201.40
Aug	150	173.32	150	150.00	150	203.29
Sep	150	171.58	150	200.97	150	206.92
Oct	150	181.94	150	200.97	150	
Nov	150	171.64	150	195.11	150	
Dec	150	179.58	150	198.61	150	
Jan	150	192.14	150	208.09	150	
Feb	150	190.25	150	208.16	150	
Mar	150	188.78	150	205.41	150	



Comments:

- The local authority has agreed that the funding levels for the Unaccompanied Asylum Seeking Children's Service 18+ grant agreed with the Government rely on us achieving an average cost per week of £150, in order for the service to be fully funded, which is also reliant on the UKBA accelerating the removal process. In 2011-12 UKBA changed their grant rules and now only fund the costs of an individual for up to three months after the All Rights of appeal Exhausted (ARE) process if the LA carries out a Human Rights Assessment before continuing support. The LA has continued to meet the cost of the care leavers in order that it can meet its statutory obligations to those young people under the Leaving Care Act until the point of removal.
- As part of our partnership working with UKBA, most UASC in Kent are now required to report to UKBA offices on a regular basis, in most cases weekly. The aim is to ensure that UKBA have regular contact and can work with the young people to encourage them to make use of the voluntary methods of return rather than forced removal or deportation. As part of this arrangement any young person who does not report as required may have their Essential Living Allowance discontinued. As yet this has not resulted in an increase in the number of AREs being removed. The number of AREs supported has continued to remain steady, but high and a number of issues remain:
 - For various reasons, some young people have not yet moved to lower cost properties, mainly those placed out of county. These placements are largely due to either medical/mental health needs or educational needs.
 - We are currently experiencing higher than anticipated level of voids, properties not being fully occupied. Following the incident in Folkestone in January 2011, teams are exercising a greater caution when making new placements into existing properties. This is currently being addressed by the Accommodation Team.
 - We are still receiving damages claims relating to closed properties.
- As part of our strive to achieve a net unit cost of £150 or below, we will be insisting on take-up of state benefits for those entitled.
- The current forecast average weekly cost for 2013-14 is £206.92, £56.92 above the £150 claimable under the grant rules. This adds £1,191k to the forecast outturn position. We are invoicing the Home Office for the majority of this shortfall in grant income each month and negotiations are ongoing regarding payment.

3. CAPITAL

3.1 The Families and Social Care Directorate - Specialist Children's Services has a working budget for 2013-14 of £1,925k. The forecast outturn against the 2013-14 budget is £1,925k giving a variance of £0k.

3.2 **Table 2** below details the FSC CS Capital Position by Budget Book line.

Budget Book Heading	Three year cash limit (£000)	2013-14 Working Budget (£000)	2013-14 Variance (£000)	Variance Break-down (£000)	Rephasing / Real Variance and Funding Stream	Explanation of In-Year Variance	Project Status ¹	Explanation of Project Status	Actions
Individual Projects									
Transforming Short Breaks	1,074	1,674	0	0			Green		
Service Redesign (Family Centre)	251	251	0	0			Green		
Total	1,325	1,925	0	0					

1. Status:

Green – on time and within budget

Amber – either delayed completion date or over budget

Red – both delayed completion and over budget

FAMILIES & SOCIAL CARE DIRECTORATE SUMMARY
ADULTS SERVICES SUMMARY
SEPTEMBER 2013-14 MONITORING REPORT

1. REVENUE

1.1		Cash Limit	Variance Before Mgmt Action	Management Action	Net Variance after Mgmt Action
	Total (£k)	+334,878	-15	-	-15

1.2 **Table 1** below details the revenue position by A-Z budget:

Budget Book Heading	Cash Limit			Variance	Explanation		Management Action/ Impact on MTFP
	Gross	Income	Net	Net	£'000		
	£'000	£'000	£'000	£'000	£'000		
Adult Social Care & Public Health portfolio							
Strategic Management & Directorate Support budgets	7,019.1	-957.8	6,061.3	+263	+323	Legal Charges	
					-60	Other minor variances	
<u>Support to Frontline Services:</u>							
- Adults Social Care Commissioning & Performance Monitoring	3,534.8	-140.2	3,394.6	+16			
<u>Adults & Older People:</u>							
- Direct Payments							
- Learning Disability	15,865.8	0.0	15,865.8	+481	-239	Forecast -875 weeks below affordable level of 60,327 weeks	Demographic pressures & savings will need to be addressed in the MTFP
					+615	Forecast average unit cost +£10.20 above affordable level of £262.50	
					+431	One-off direct payments	
					-326	Recovery of unspent funds from clients	
- Mental Health	817.2	0.0	817.2	+92	-94	Forecast -1,107 weeks below affordable level of 10,803 weeks	Demographic pressures & savings will need to be addressed in the MTFP
					+147	Forecast average unit cost +£13.60 above affordable level of £71.40	
					+39	Other minor variances	

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
- Older People	6,797.2	0.0	6,797.2	-31	-454 Forecast -2,837 weeks below affordable level of 45,113 weeks +416 Forecast average unit cost +£9.23 above affordable level of £150.67 +434 One-off direct payments -541 Recovery of unspent funds from clients +114 Costs relating to 2012-13 where insufficient creditors were set up	Demographic pressures & savings will need to be addressed in the MTFP
- Physical Disability	10,586.9	0.0	10,586.9	-576	-295 Forecast -1,590 weeks below affordable level of 56,463 weeks -125 Forecast average unit cost -£2.21 below affordable level of £187.50 +537 One-off direct payments -762 Recovery of unspent funds from clients +69 Costs relating to 2012-13 where insufficient creditors were set up	Demographic pressures & savings will need to be addressed in the MTFP
Total Direct Payments	34,067.1	0.0	34,067.1	-34		
- Domiciliary Care						
- Learning Disability	4,237.6	-679.2	3,558.4	-349	-146 Independent Sector: forecast -11,209 hours below affordable level of 94,500 hours -72 Independent Sector: forecast average unit cost -£0.76 below affordable level of £13.80 -100 Unrealised creditors raised in 2012-13 -31 Other minor variances	Demographic pressures & savings will need to be addressed in the MTFP
- Older People	42,599.5	-1,362.7	41,236.8	-206	-507 Independent Sector: forecast -33,753 hours below affordable level of 2,240,067 hours +157 Independent Sector: forecast average unit cost +£0.07 above affordable level of £14.95 +311 Independent sector: costs incurred relating to 2012-13 where insufficient creditors were set up	Demographic pressures & savings will need to be addressed in the MTFP

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
					-143 Underspend on Independent Sector Enablement replaced by increased usage of the Kent Enablement at Home Service (KEAH) (see below)	
					+119 Increased activity on the Older People KEAH service due to reduced usage of Independent Sector Enablement and implementation of transformation plans	
					-217 Use of alternative funding sources to finance the programme of spend for hand held devices for the Older People KEAH service, such as use of reserves or capitalisation where eligible	
					+74 Other minor variances	
- Physical Disability	7,576.3	0.0	7,576.3	-16	-469 Independent Sector: forecast -34,241 hours below affordable level of 518,335 hours	Demographic pressures & savings will need to be addressed in the MTFP
					+285 Independent Sector: forecast average unit cost +£0.55 above affordable level of £13.15	
					+170 Pressure on Physical Disability Kent Enablement at Home Service (KEAH)	
					-2 Other minor variances	
Total Domiciliary Care	54,413.4	-2,041.9	52,371.5	-571		
- Non Residential Charging						
- Learning Disability	0.0	-2,569.3	-2,569.3	-152	-152 The forecast over-recovery of client contributions towards non-residential care services is linked to the current pressure being forecast on other learning disability community based services (such as Domiciliary, Day Care, Direct Payments & Supported Accommodation) highlighted in this report	Realignment of budget with other community based service headings will need to be addressed in the MTFP along with demographic pressures & savings.

Budget Book Heading	Cash Limit			Variance	Explanation		Management Action/ Impact on MTFP
	Gross	Income	Net	Net			
	£'000	£'000	£'000	£'000	£'000		
- Older People	0.0	-11,627.0	-11,627.0	+1,791	+1,791	The forecast under-recovery of client contributions towards non-residential care services is in part linked to the current underspend being forecast on other older people community based services highlighted in this report. In addition, this budget was set based on certain assumptions around activity & unit contributions. It is now apparent a realignment of this budget is required which will be addressed in the 2014-17 MTFP.	Realignment of budget with other community based service headings will need to be addressed in the MTFP along with demographic pressures & savings.
- Physical Disability / Mental Health	0.0	-1,459.5	-1,459.5	-67	-100	The forecast over-recovery of client contributions towards physical disability community based services suggests the average unit income is greater than budgeted and is offsetting the under-recovery of client income linked to the current underspend being forecast on other physical disability services highlighted in this report	
					+33	Other minor variances	
Total Non Residential Charging Income	0.0	-15,655.8	-15,655.8	+1,572			
- Nursing & Residential Care							
- Learning Disability	76,895.0	-6,219.8	70,675.2	+438	+1,297	Independent Sector: forecast +1,038 weeks above affordable level of 40,086 weeks -91 Leading to an increase in client contributions +95 Independent Sector: forecast average unit cost +£2.36 above affordable level of £1,247.27 -181 Independent Sector: forecast average unit client contribution -£4.51 above affordable level of -£83.24	Demographic pressures & savings will need to be addressed in the MTFP

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000 -1,514 Preserved Rights Independent Sector: forecast -1,617 weeks below affordable level of 27,124 weeks +161 Leading to a shortfall in client contributions +629 Preserved Rights Independent Sector: forecast average unit cost +£23.20 above affordable level of £913.28 -134 Preserved Rights Independent Sector: forecast average unit client contribution -£4.93 above affordable level of -£94.37 +131 Costs incurred in relation to 2012-13 where insufficient creditors were set up +45 Other minor variances	Demographic pressures & savings will need to be addressed in the MTFP
- Mental Health	7,380.2	-768.4	6,611.8	+640	+732 Independent Sector: forecast +1,189 weeks above affordable level of 9,895 weeks +95 Forecast average unit cost +£9.59 above affordable level of £605.75 -101 Over-recovery of income for clients part funded by health -86 Other minor variances	Demographic pressures & savings will need to be addressed in the MTFP
- Older People - Nursing	48,633.6	-24,365.0	24,268.6	-491	-25 Independent Sector: forecast -51 weeks below affordable level of 83,362 weeks +9 Leading to a shortfall in client contributions +185 Independent Sector: forecast average unit cost +£2.22 above affordable level of £481.80 -736 Independent Sector: forecast average unit client contribution -£8.83 above affordable level of -£171.99 +76 Other minor variances	Demographic pressures & savings will need to be addressed in the MTFP

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
- Older People - Residential	81,827.1	-32,731.8	49,095.3	+134	+900 Independent Sector: forecast +2,232 weeks above affordable level of 146,064 weeks -385 Leading to an increase in client contributions +358 Independent Sector: forecast average unit cost +£2.45 above affordable level of £400.60 -701 Independent Sector: forecast average unit client contribution -£4.80 above affordable level of -£167.74 -38 Other minor variances	Demographic pressures & savings will need to be addressed in the MTFP
- Physical Disability	12,691.6	-1,752.0	10,939.6	+258	+496 Independent Sector: forecast +577 weeks above affordable level of 12,902 weeks -118 Independent Sector: forecast average unit cost -£9.11 below affordable level of £868.96 -120 Other minor variances	Demographic pressures & savings will need to be addressed in the MTFP
Total Nursing & Residential Care	227,427.5	-65,837.0	161,590.5	+979		
- Supported Accommodation						
- Learning Disability	32,870.0	-1,425.0	31,445.0	+790	+628 Independent Sector: forecast +62,231 hours above affordable level of 3,168,734 hours +697 Forecast average unit cost +£0.22 above affordable level of £9.87 -287 Unrealised creditors raised in 2012-13 -137 Underspend following the closure of the Bridge Resource Centre. This underspend partially offsets the pressure on in-house day care services (see below) -111 Other minor variances	Demographic pressures & savings will need to be addressed in the MTFP
- Older People	4,540.1	-4,350.0	190.1	+4		

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
- Physical Disability / Mental Health	3,430.9	-248.9	3,182.0	-7	-6 Physical Disability Independent Sector: forecast -784 hours below affordable level of 238,011 hours +338 Physical Disability Independent Sector: forecast average unit cost +£1.42 above affordable level of £6.46 -186 Mental Health Independent Sector: forecast -17,572 hours below affordable level of 151,107 hours -74 Mental Health Independent Sector: forecast average unit cost -£0.49 below affordable level of £11.09 -79 Other minor variances	Demographic pressures & savings will need to be addressed in the MTFP
Total Supported Accommodation	40,841.0	-6,023.9	34,817.1	+787		
- Other Services for Adults & Older People						
- Contributions to Vol Orgs	17,868.5	-4,244.0	13,624.5	+418	+418 Various contracts with voluntary organisations are currently being reviewed/re-negotiated or re- commissioned along with investment in new services to support the transformation agenda (including expansion of care navigators programme, a service to explore options with older people to enable them to live independently within their community).	
- Community Support Services for Mental Health	1,265.3	-34.3	1,231.0	-66		
- Day Care						
- Learning Disability	12,723.4	-182.4	12,541.0	+540	+206 Unachievable savings target on in- house day care services following the day services review. The underspend following the closure of the Bridge (see LD Supported Accommodation above) is partially offsetting this pressure.	

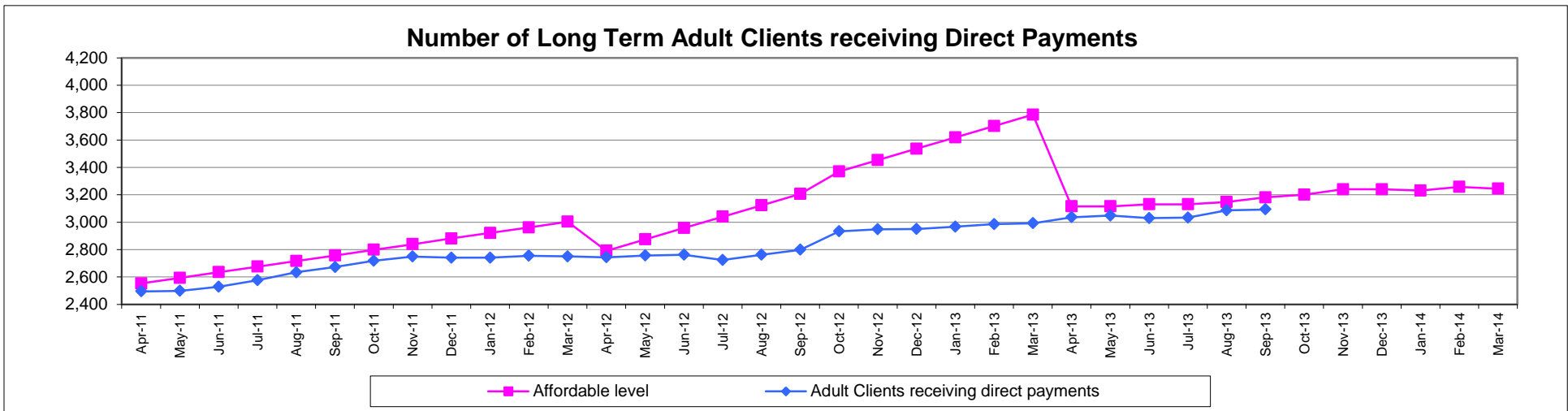
Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross £'000	Income £'000	Net £'000	Net £'000		
					+334	Current demand for services provided by the independent sector
- Older People	2,453.9	-63.1	2,390.8	-58		
- Physical Disability	1,040.0	-4.7	1,035.3	+255	+255	Current demand for services provided by both the independent sector and the resource centre
Total Day Care	16,217.3	-250.2	15,967.1	+737		
- Other Adult Services	4,167.0	-15,672.6	-11,505.6	-3,010	-3,051	This budget line holds both transformation savings and some of the NHS support for social care monies, including funds required for additional winter pressures. Plans are being further developed and implemented with the NHS to ensure that health outcomes are being met from the investments. Pressures are being shown against their respective budget lines and the compensating funding stream is being reflected here.
					+105	Current demand for Kent sensory services equipment
					-125	The number of hot meals provided to older people continues to fall as clients choose alternative methods to receive this service.
					+61	Other minor variances
- Safeguarding	1,135.2	-261.6	873.6	-187	-187	Net effect of delays in the recruitment to known vacancies as well as the recommissioning and reduction in the level of training to be delivered through the Mental Health Capacity Act (MCA)
Total Other Services for A&OP	40,653.3	-20,462.7	20,190.6	-2,108		

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
Assessment Services						
- Adult Social Care Staffing	41,902.7	-3,862.0	38,040.7	-919	-495 Net effect of delays in the recruitment to known vacancies within the older people and physical disability assessment teams and usage of locum/agency staff.	
					-386 Delays in the recruitment to known vacancies within the Mental Health assessment teams and the usage of locum/agency staff. This is partly due to recent staffing reviews along with general difficulties in recruiting to speciality mental health practitioners	
					-38 Other minor variances	
Total ASC&PH portfolio	449,858.9	-114,981.3	334,877.6	-15		
Assumed Mgmt Action						
- ASC&PH portfolio						
Total Forecast <u>after</u> mgmt action	449,858.9	-114,981.3	334,877.6	-15		

2. KEY ACTIVITY INDICATORS AND BUDGET RISK ASSESSMENT MONITORING

2.1 Direct Payments - Number of Adult Social Services Clients receiving Direct Payments:

	2011-12			2012-13			2013-14		
	Affordable level for long term clients	Snapshot of long term adults rec'ing direct payments	Number of one-off payments made during the month	Affordable level for long term clients	Snapshot of long term adults rec'ing direct payments	Number of one-off payments made during the month	Affordable level for long term clients	Snapshot of long term adults rec'ing direct payments	Number of one-off payments made during the month
Apr	2,553	2,495	137	2,791	2,744	169	3,116	3,036	160
May	2,593	2,499	89	2,874	2,756	147	3,116	3,048	155
Jun	2,635	2,529	90	2,957	2,763	133	3,130	3,029	109
Jul	2,675	2,576	125	3,040	2,724	156	3,130	3,033	136
Aug	2,716	2,634	141	3,123	2,763	167	3,147	3,086	133
Sep	2,757	2,672	126	3,207	2,799	147	3,181	3,093	87
Oct	2,799	2,719	134	3,370	2,933	185	3,201		
Nov	2,839	2,749	122	3,453	2,949	119	3,240		
Dec	2,881	2,741	111	3,536	2,950	109	3,240		
Jan	2,921	2,741	130	3,619	2,967	117	3,231		
Feb	2,962	2,755	137	3,702	2,986	127	3,257		
Mar	3,003	2,750	117	3,785	2,992	105	3,244		
			1,459			1,681			780

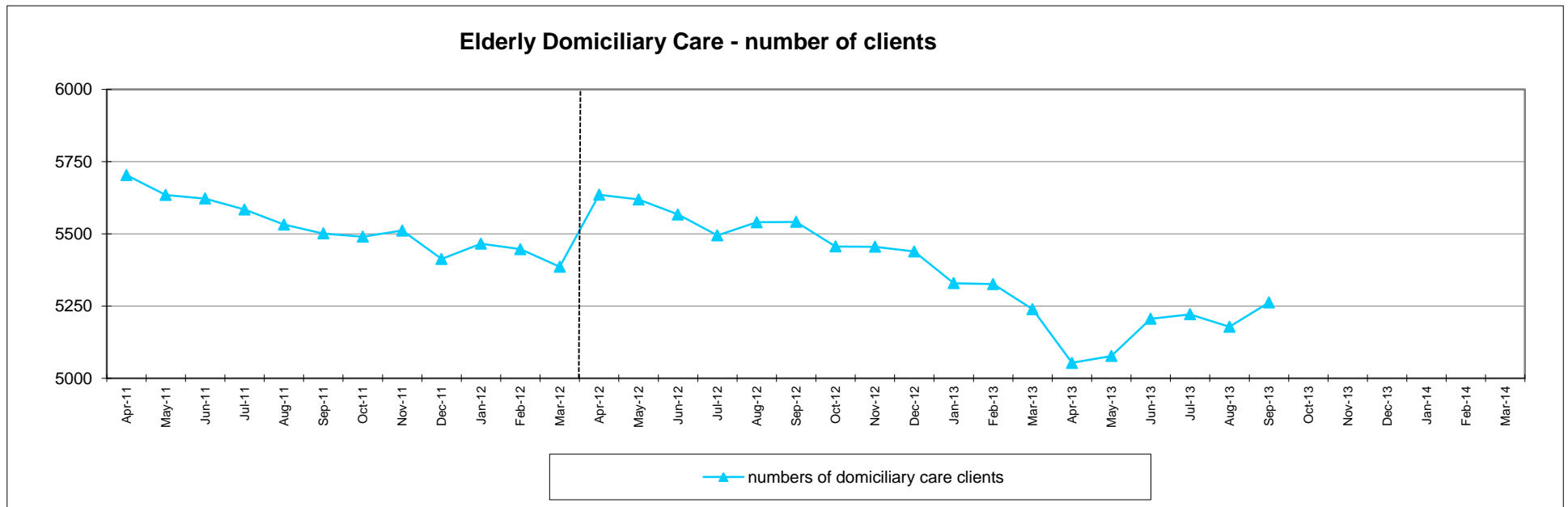


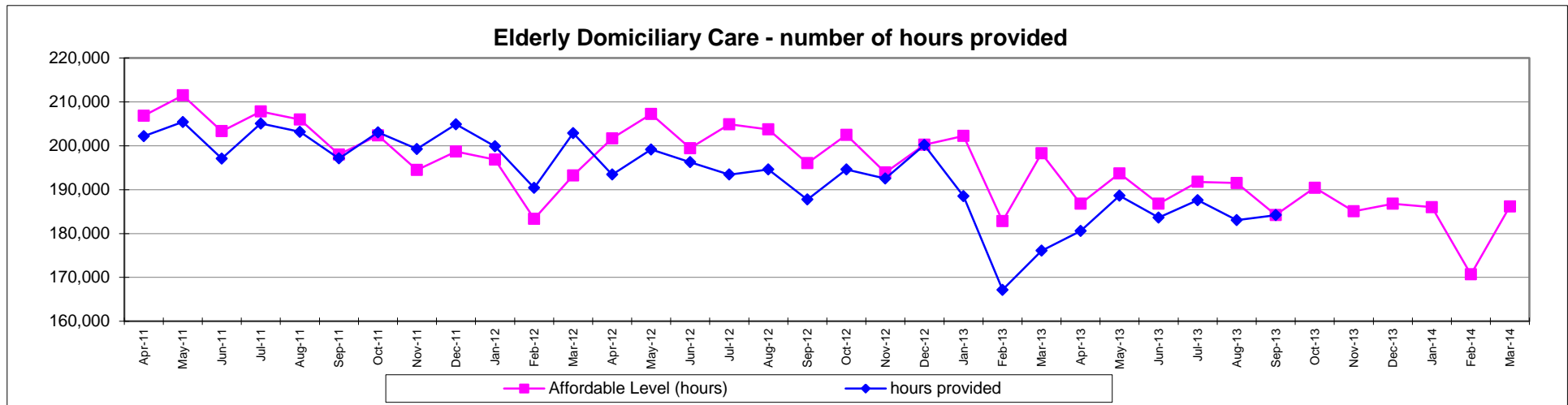
Comments:

- The presentation of activity being reported for direct payments changed in the 2012-13 Q2 report in order to separately identify long term clients in receipt of direct payments as at the end of the month plus the number of one-off payments made during the month. Please note a long term client in receipt of a regular direct payment may also receive a one-off payment if required. Only the long term clients are presented on the graph above.
- Please note that due to the time taken to record changes in direct payments onto the client database the number of clients and one-off direct payments for any given month may change therefore the current year to date activity data is refreshed in each report to provide the most up to date information.
- Table 1 shows a small underspend on this service of £34k. The activity recorded above suggests a larger underspend than this, but this is largely being negated by higher than budgeted unit costs.

2.2 Elderly domiciliary care – numbers of clients and hours provided in the independent sector

	2011-12			2012-13			2013-14		
	Affordable level (hours)	hours provided	number of clients	Affordable level (hours)	hours provided	number of clients	Affordable level (hours)	hours provided	number of clients
Apr	206,859	202,177	5,703	201,708	193,451	5,635	186,809	180,585	5,053
May	211,484	205,436	5,634	207,244	199,149	5,619	193,717	188,656	5,077
Jun	203,326	197,085	5,622	199,445	196,263	5,567	186,778	183,621	5,206
Jul	207,832	205,077	5,584	204,905	193,446	5,494	191,791	187,621	5,221
Aug	206,007	203,173	5,532	203,736	194,628	5,540	191,521	183,077	5,178
Sep	198,025	197,127	5,501	196,050	187,749	5,541	184,242	184,208	5,262
Oct	202,356	203,055	5,490	202,490	194,640	5,456	190,446		
Nov	194,492	199,297	5,511	193,910	192,555	5,455	185,082		
Dec	198,704	204,915	5,413	200,249	200,178	5,439	186,796		
Jan	196,879	199,897	5,466	202,258	188,501	5,329	186,006		
Feb	183,330	190,394	5,447	182,820	167,163	5,326	170,695		
Mar	193,222	202,889	5,386	198,277	176,091	5,239	186,184		
	2,402,516	2,410,522		2,393,092	2,283,814		2,240,067	1,107,767	





Comments:

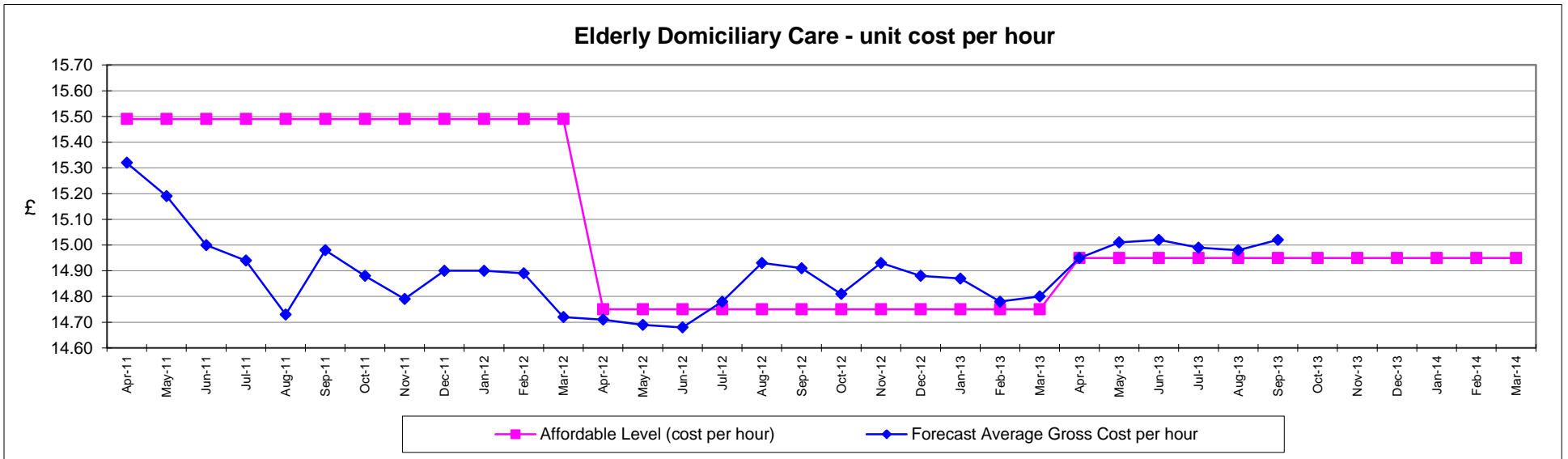
- Figures exclude services commissioned from the Kent Enablement At Home Service.
- Please note, from April 2012 there has been a change in the method of counting clients to align with current Department of Health guidance, which states that suspended clients e.g. those who may be in hospital and not receiving a current service should still be counted. This has resulted in an increase in the number of clients being recorded. For comparison purposes, using the new counting methodology, the equivalent number of clients in March 2012 would have been 5,641. **A dotted line has been added to the graph to distinguish between the two different counting methodologies, as the data presented is not on a consistent basis and therefore is not directly comparable.**
- The current forecast is 2,206,314 hours of care against an affordable level of 2,240,067, a difference of -33,753 hours. Using the forecast unit cost of £15.02 this reduction in activity reduces the forecast by -£507k, as shown in table 1.
- To the end of September 1,107,767 hours of care have been delivered against an affordable level of 1,134,858, a difference of -27,091 hours. Current activity suggests that the forecast should be lower on this service. However, although the budgeted level assumes a continual reduction in client numbers in line with previous years' trends, the current forecast assumes a slowing of this trend based on current client activity, where in fact client numbers have increased during this financial year and are slightly above the client numbers at the end of 2012-13.
- Domiciliary for all client groups are volatile budgets, with the number of people receiving domiciliary care decreasing over the past few years as a result of the implementation of Self Directed Support (SDS). This is being compounded by a shift in trend towards take up of the enablement service. However, as a result of this, clients who are receiving domiciliary care are likely to have greater needs and require more intensive packages of care than historically provided - the 2010-2011 average hours per client per week was 7.8, whereas the average figure for 2012-13 was 8.0. For 2013-14, the current actual average hours per client per week is 8.2.

2.3 Average gross cost per hour of older people domiciliary care compared with affordable level:

	2011-12		2012-13		2013-14	
	Affordable Level (Cost per Hour) £p	Forecast Average Gross Cost per Hour £p	Affordable Level (Cost per Hour) £p	Forecast Average Gross Cost per Hour £p	Affordable Level (Cost per Hour) £p	Forecast Average Gross Cost per Hour £p
Apr	15.49	15.32	14.75	14.71	14.95	14.95
May	15.49	15.19	14.75	14.69	14.95	15.01
Jun	15.49	15.00	14.75	14.68	14.95	15.02
Jul	15.49	14.94	14.75	14.78	14.95	14.99
Aug	15.49	14.73	14.75	14.93	14.95	14.98
Sep	15.49	14.98	14.75	14.91	14.95	15.02
Oct	15.49	14.88	14.75	14.81	14.95	
Nov	15.49	14.79	14.75	14.93	14.95	
Dec	15.49	14.90	14.75	14.88	14.95	
Jan	15.49	14.90	14.75	14.87	14.95	
Feb	15.49	14.89	14.75	14.78	14.95	
Mar	15.49	14.72	14.75	14.80	14.95	

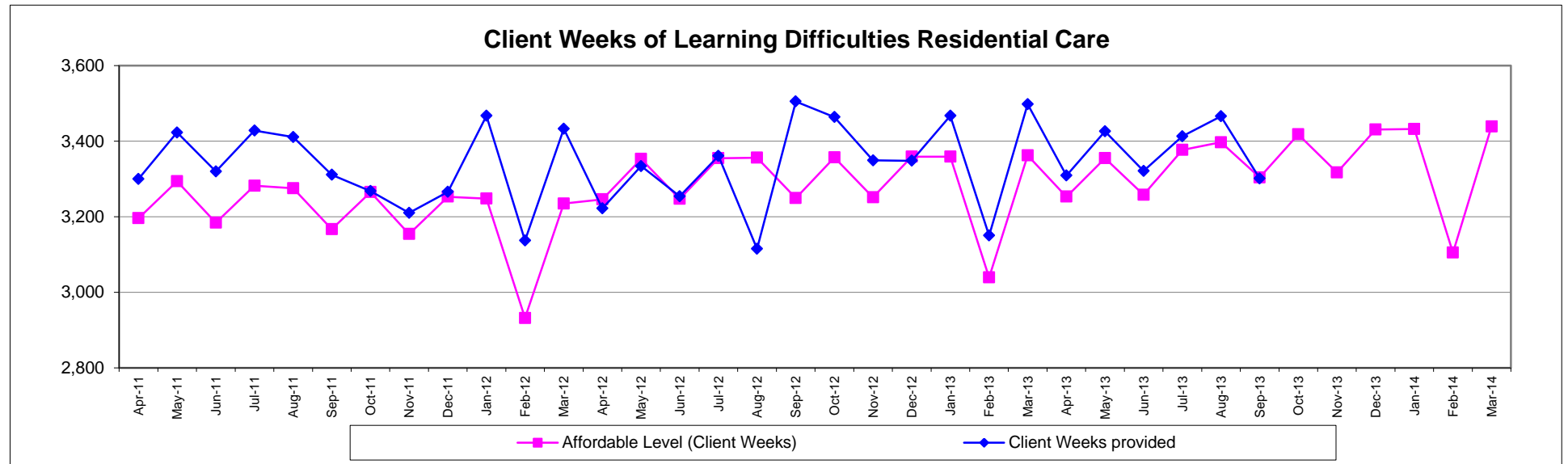
Comments:

- The unit cost has remained relatively static despite current work with providers to achieve savings, as it is also dependent on the intensity of the packages required.
- The forecast unit cost of £15.02 is slightly higher than the affordable cost of £14.95 and this difference of +£0.07 increases the forecast by £157k when multiplied by the affordable hours, as shown in table 1.



2.4 Number of client weeks of learning disability residential care provided compared with affordable level (non preserved rights clients):

	2011-12		2012-13		2013-14	
	Affordable Level (Client Weeks)	Client Weeks provided	Affordable Level (Client Weeks)	Client Weeks provided	Affordable Level (Client Weeks)	Client Weeks provided
Apr	3,196	3,300	3,246	3,222	3,253	3,309
May	3,294	3,423	3,353	3,334	3,355	3,426
Jun	3,184	3,320	3,247	3,254	3,258	3,321
Jul	3,282	3,428	3,355	3,361	3,377	3,413
Aug	3,275	3,411	3,356	3,115	3,397	3,466
Sep	3,167	3,311	3,249	3,505	3,304	3,301
Oct	3,265	3,268	3,357	3,464	3,418	
Nov	3,154	3,210	3,251	3,349	3,317	
Dec	3,253	3,266	3,359	3,348	3,431	
Jan	3,248	3,467	3,359	3,467	3,432	
Feb	2,932	3,137	3,039	3,150	3,105	
Mar	3,235	3,433	3,362	3,498	3,439	
	38,485	39,974	39,533	40,067	40,086	20,236

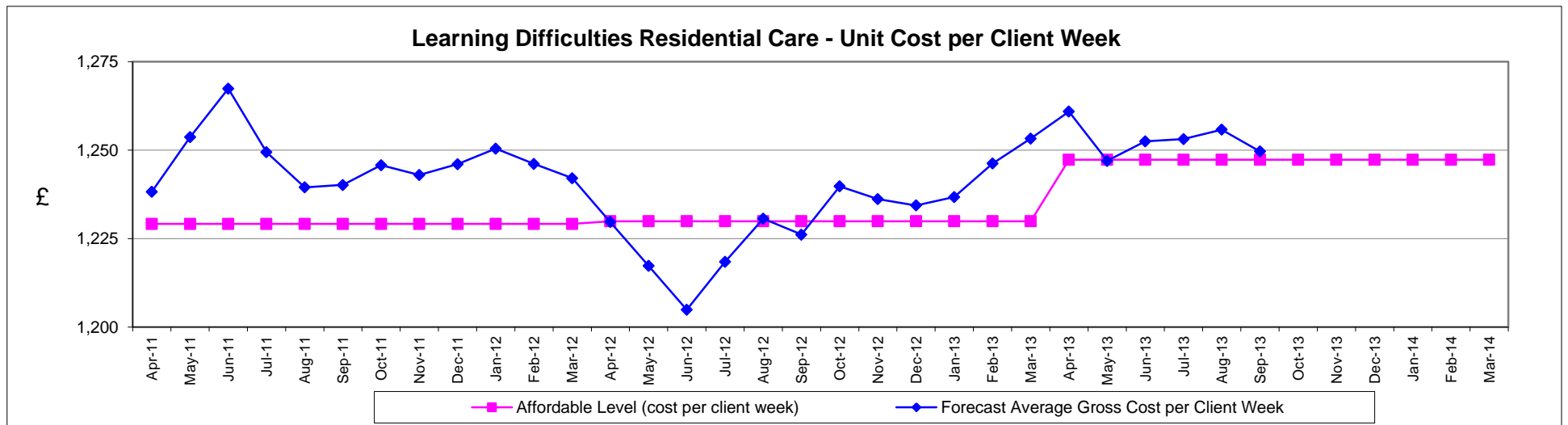


Comments:

- The above graph reflects the number of client weeks of service provided as this has a greater influence on cost than the actual number of clients. The actual number of clients in LD residential care at the end of 2011-12 was 746, at the end of 2012-13 it was 764 and at the end of September 2013 it was 764. This includes any ongoing transfers as part of the S256 agreement with Health, transitions, provisions and ordinary residence.
- The current forecast is 41,124 weeks of care against an affordable level of 40,086, a difference of +1,038 weeks. Using the forecast unit cost of £1,249.63 this additional activity increases the forecast by +£1,297k , as shown in table 1.
- The forecast activity for this service is based on known individual clients including provisional and transitional clients. Provisional clients are those whose personal circumstances are changing and therefore require a more intense care package or greater financial help. Transitional clients are children who are transferring to adult social services.
- To the end of September 20,236 weeks of care have been delivered against an affordable level of 19,944, a difference of +292 weeks. The current year to date activity suggests a lower level of activity than forecast, however, this is mainly due to delays in the recording of non-permanent residential care services and some bespoke contracts for transitional and provisional clients on the activity database, meaning that the year to date activity is understated.

2.5 Average gross cost per client week of learning disability residential care compared with affordable level (non preserved rights clients):

	2011-12		2012-13		2013-14	
	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p
Apr	1,229.19	1,238.24	1,229.93	1,229.69	1,247.27	1,260.92
May	1,229.19	1,253.68	1,229.93	1,217.30	1,247.27	1,246.97
Jun	1,229.19	1,267.40	1,229.93	1,204.91	1,247.27	1,252.50
Jul	1,229.19	1,249.41	1,229.93	1,218.46	1,247.27	1,253.14
Aug	1,229.19	1,239.50	1,229.93	1,230.65	1,247.27	1,255.80
Sep	1,229.19	1,240.17	1,229.93	1,226.14	1,247.27	1,249.63
Oct	1,229.19	1,245.76	1,229.93	1,239.77	1,247.27	
Nov	1,229.19	1,242.97	1,229.93	1,236.19	1,247.27	
Dec	1,229.19	1,246.05	1,229.93	1,234.39	1,247.27	
Jan	1,229.19	1,250.44	1,229.93	1,236.77	1,247.27	
Feb	1,229.19	1,246.11	1,229.93	1,246.23	1,247.27	
Mar	1,229.19	1,242.08	1,229.93	1,253.27	1,247.27	

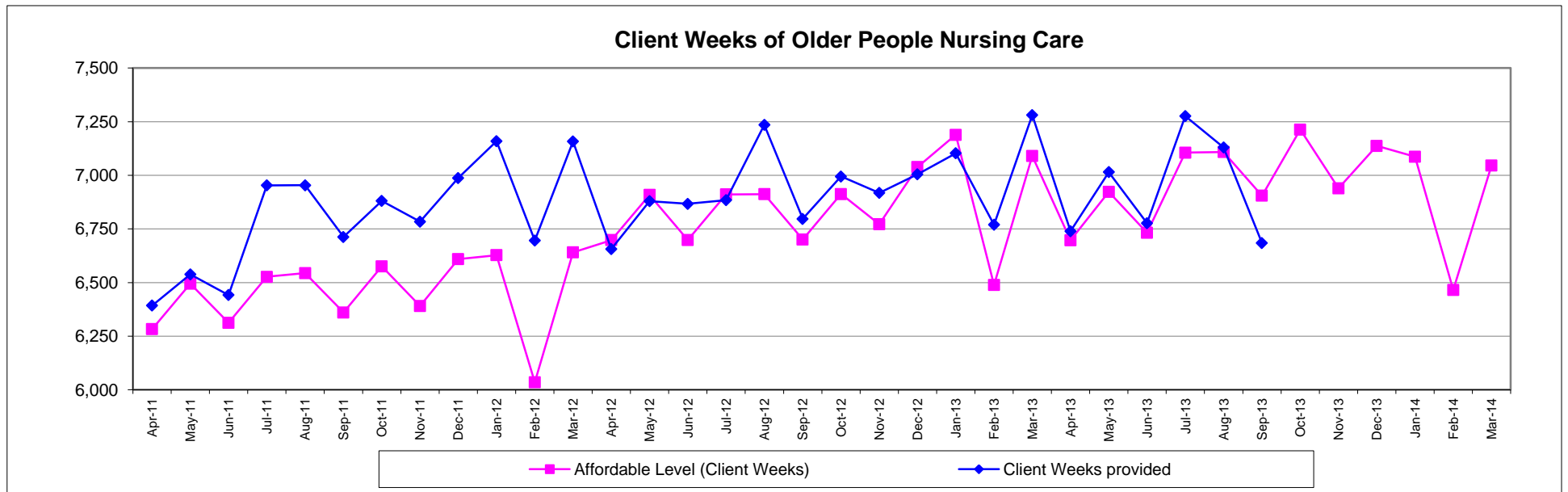


Comments:

- Clients being placed in residential care are those with very complex and individual needs which make it difficult for them to remain in the community, in supported accommodation/supporting living arrangements, or receiving a domiciliary care package. These are therefore placements which attract a very high cost, with the average now being over £1,200 per week. It is expected that clients with less complex needs, and therefore less cost, can transfer from residential into supported living arrangements. This would mean that the average cost per week would increase over time as the remaining clients in residential care would be those with very high cost – some of whom can cost up to £2,000 per week. In addition, no two placements are alike – the needs of people with learning disabilities are unique and consequently, it is common for average unit costs to increase or decrease significantly on the basis of one or two cases.
- The forecast unit cost of +£1,249.63 is higher than the affordable cost of +£1,247.27 and this difference of +£2.36 adds +£95k to the position when multiplied by the affordable weeks, as shown in table 1.

2.6 Number of client weeks of older people nursing care provided compared with affordable level:

	2011-12		2012-13		2013-14	
	Affordable Level (Client Weeks)	Client Weeks provided	Affordable Level (Client Weeks)	Client Weeks provided	Affordable Level (Client Weeks)	Client Weeks provided
Apr	6,283	6,393	6,698	6,656	6,697	6,740
May	6,495	6,538	6,909	6,880	6,923	7,015
Jun	6,313	6,442	6,699	6,867	6,733	6,777
Jul	6,527	6,953	6,911	6,884	7,106	7,276
Aug	6,544	6,954	6,912	7,235	7,109	7,130
Sep	6,361	6,713	6,701	6,797	6,905	6,684
Oct	6,576	6,881	6,913	6,995	7,213	
Nov	6,391	6,784	6,772	6,918	6,940	
Dec	6,610	6,988	7,039	7,005	7,137	
Jan	6,628	7,159	7,189	7,103	7,087	
Feb	6,036	6,696	6,489	6,770	6,466	
Mar	6,641	7,158	7,090	7,281	7,046	
	77,405	81,659	82,322	83,391	83,362	41,622



Comments:

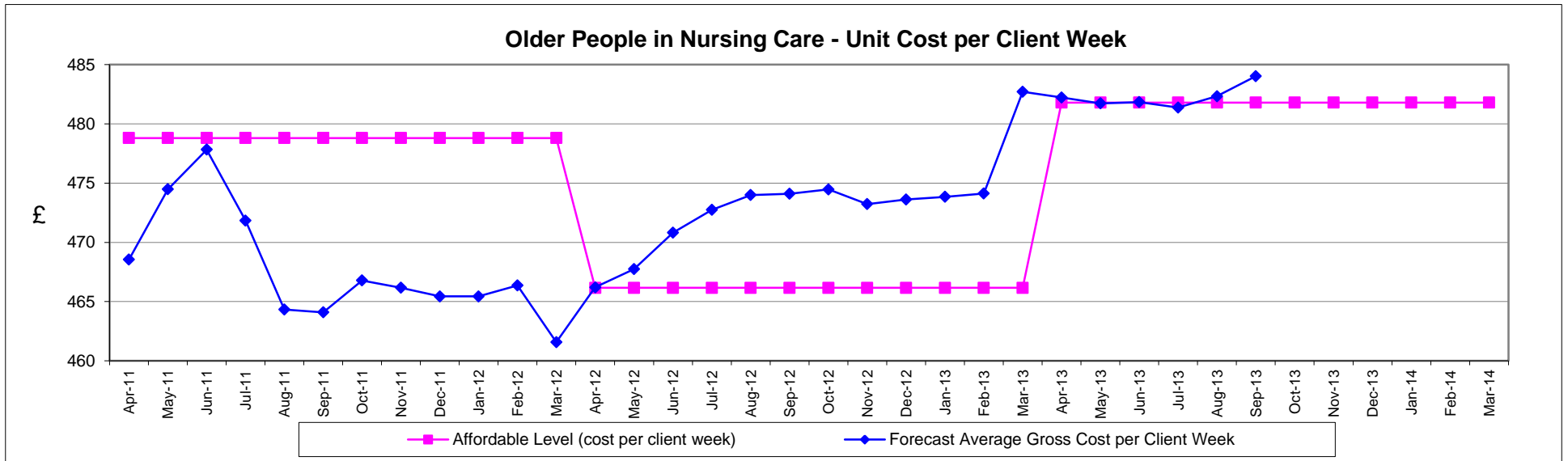
- The graph reflects the number of client weeks of service provided as this has a greater influence on cost than the actual number of clients. The actual number of clients in older people nursing care at the end of 2011-12 was 1,479, at the end of 2012-13 it was 1,469 and at the end of September 2013 it was 1,489.
- The current forecast is 83,311 weeks of care against an affordable level of 83,362, a difference of -51 weeks. Using the forecast unit cost of £484.02, this reduced activity decreases the forecast by -£25k , as shown in table 1.
- To the end of September 41,622 weeks of care have been delivered against an affordable level of 41,473, a difference of +149 weeks. The current year to date activity suggests a higher level of activity than forecast. However, the forecast assumes a slowing in the increase of permanent clients in line with the current activity trend, along with an anticipated reduction in the purchase of short-term beds towards the end of the year.
- The affordable level has changed since the previous report to reflect a realignment of S256 clients, and their associated budgets, between client groups.

2.7 Average gross cost per client week of older people nursing care compared with affordable level:

	2011-12		2012-13		2013-14	
	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p
Apr	478.80	468.54	466.16	466.20	481.80	482.22
May	478.80	474.48	466.16	467.74	481.80	481.73
Jun	478.80	477.82	466.16	470.82	481.80	481.83
Jul	478.80	471.84	466.16	472.74	481.80	481.38
Aug	478.80	464.32	466.16	473.99	481.80	482.33
Sep	478.80	464.09	466.16	474.09	481.80	484.02
Oct	478.80	466.78	466.16	474.47	481.80	
Nov	478.80	466.17	466.16	473.23	481.80	
Dec	478.80	465.44	466.16	473.61	481.80	
Jan	478.80	465.44	466.16	473.84	481.80	
Feb	478.80	466.36	466.16	474.13	481.80	
Mar	478.80	461.58	466.16	482.71	481.80	

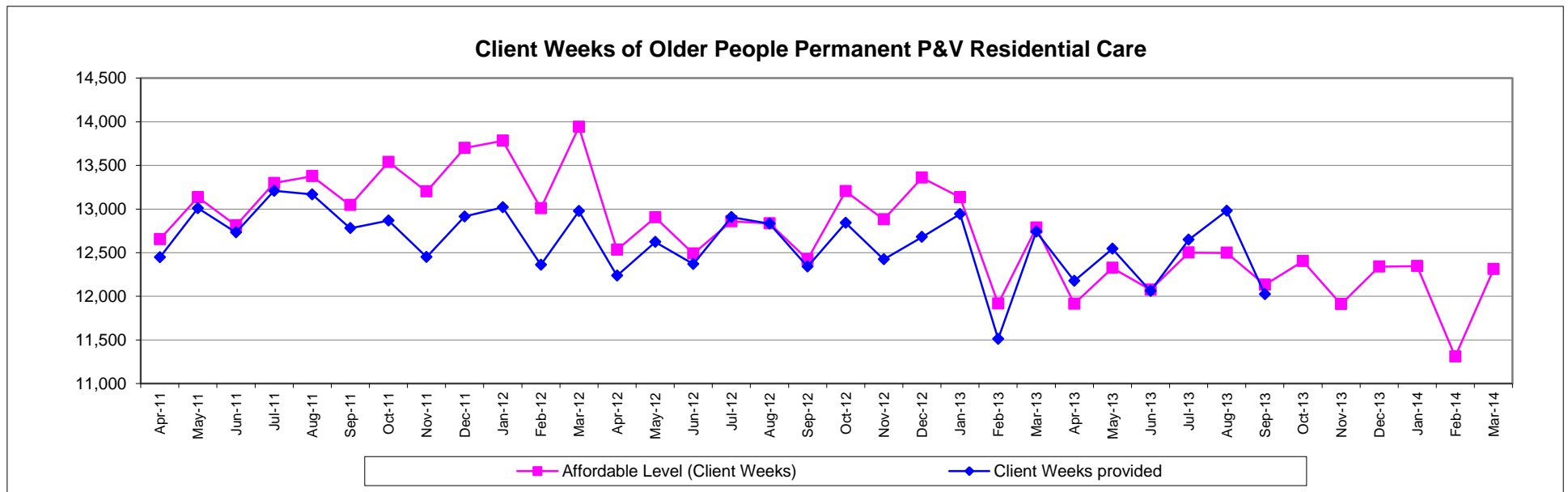
Comments:

- As with residential care, the unit cost for nursing care will be affected by the increasing proportion of older people with dementia who need more specialist and expensive care, which is why the unit cost can be quite volatile and in recent months this service has seen an increase of older people requiring this more specialist care.
- The forecast unit cost of £484.02 is higher than the affordable cost of £481.80 and this difference of +£2.22 increases the position by £185k when multiplied by the affordable weeks, as shown in table 1. The change between August and September unit costs is primarily due to the forecast weeks reflecting the actual level of usage of short term block bed contracts, rather than assuming full occupancy.



2.8 Number of client weeks of older people permanent P&V residential care provided compared with affordable level:

	2011-12		2012-13		2013-14	
	Affordable Level (Client Weeks)	Client Weeks provided	Affordable Level (Client Weeks)	Client Weeks provided	Affordable Level (Client Weeks)	Client Weeks provided
Apr	12,655	12,446	12,532	12,237	11,914	12,176
May	13,136	13,009	12,903	12,621	12,326	12,545
Jun	12,811	12,731	12,489	12,369	12,074	12,061
Jul	13,297	13,208	12,858	12,908	12,501	12,647
Aug	13,377	13,167	12,836	12,832	12,498	12,980
Sep	13,044	12,779	12,424	12,339	12,132	12,022
Oct	13,538	12,868	13,203	12,842	12,403	
Nov	13,200	12,448	12,880	12,422	11,910	
Dec	13,700	12,914	13,358	12,679	12,341	
Jan	13,782	13,019	13,135	12,941	12,345	
Feb	13,007	12,361	11,916	11,512	11,310	
Mar	13,940	12,975	12,786	12,741	12,310	
	159,487	153,925	153,320	150,443	146,064	74,431



Comments:

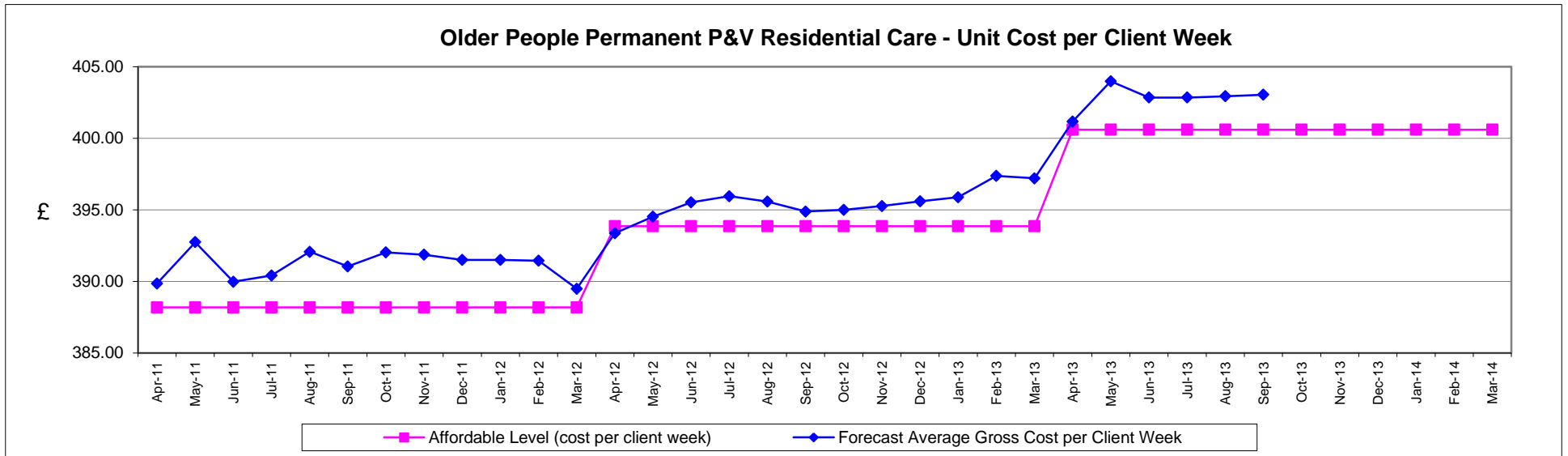
- The above graph reflects the number of client weeks of service provided as this has a greater influence on cost than the actual number of clients. The actual number of clients in older people permanent P&V residential care at the end of 2011-12 was 2,736, at the end of 2012-13 it was 2,653 and at the end of September 2013 it was 2,672. It is evident that there are ongoing pressures relating to clients with dementia who require a greater intensity of care.
- It is difficult to consider this budget line in isolation, as the Older Person's modernisation strategy has meant that fewer people are being placed in our in-house provision, so we would expect that there will be a higher proportion of permanent placements being made in the independent sector which is masking the extent of the overall reducing trend in residential client activity.
- The current forecast is 148,296 weeks of care against an affordable level of 146,064, a difference of +2,232 weeks. Using the forecast unit cost of £403.05 this additional activity increases the forecast by +£900k , as shown in table 1.
- To the end of September 74,431 weeks of care have been delivered against an affordable level of 73,445 a difference of +986 weeks. The current year to date activity suggests a slightly lower level of activity than forecast, however the forecast assumes higher levels of non-permanent residential activity in the forthcoming months.

2.9 Average gross cost per client week of older people permanent P&V residential care provided compared with affordable level:

	2011-12		2012-13		2013-14	
	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p	Affordable Level (Cost per Week) £p	Forecast Average Gross Cost per Client Week £p
Apr	388.18	389.85	393.85	393.37	400.60	401.17
May	388.18	392.74	393.85	394.52	400.60	403.98
Jun	388.18	389.97	393.85	395.52	400.60	402.85
Jul	388.18	390.41	393.85	395.95	400.60	402.85
Aug	388.18	392.07	393.85	395.58	400.60	402.94
Sep	388.18	391.04	393.85	394.88	400.60	403.05
Oct	388.18	392.02	393.85	394.99	400.60	
Nov	388.18	391.87	393.85	395.26	400.60	
Dec	388.18	391.50	393.85	395.59	400.60	
Jan	388.18	391.50	393.85	395.88	400.60	
Feb	388.18	391.44	393.85	397.38	400.60	
Mar	388.18	389.48	393.85	397.20	400.60	

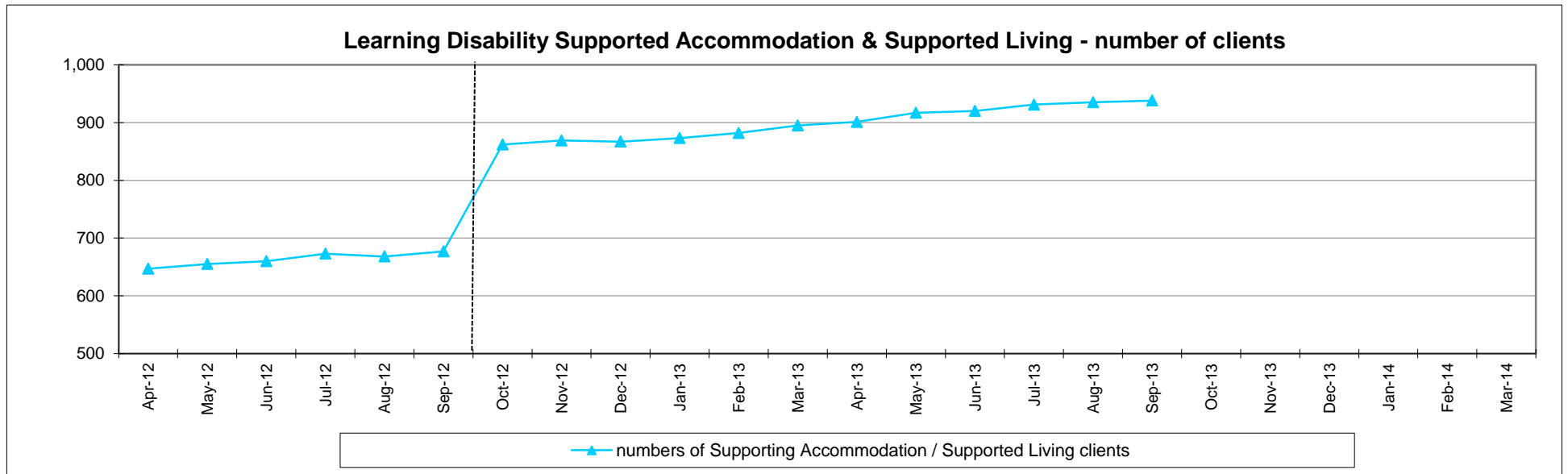
Comments:

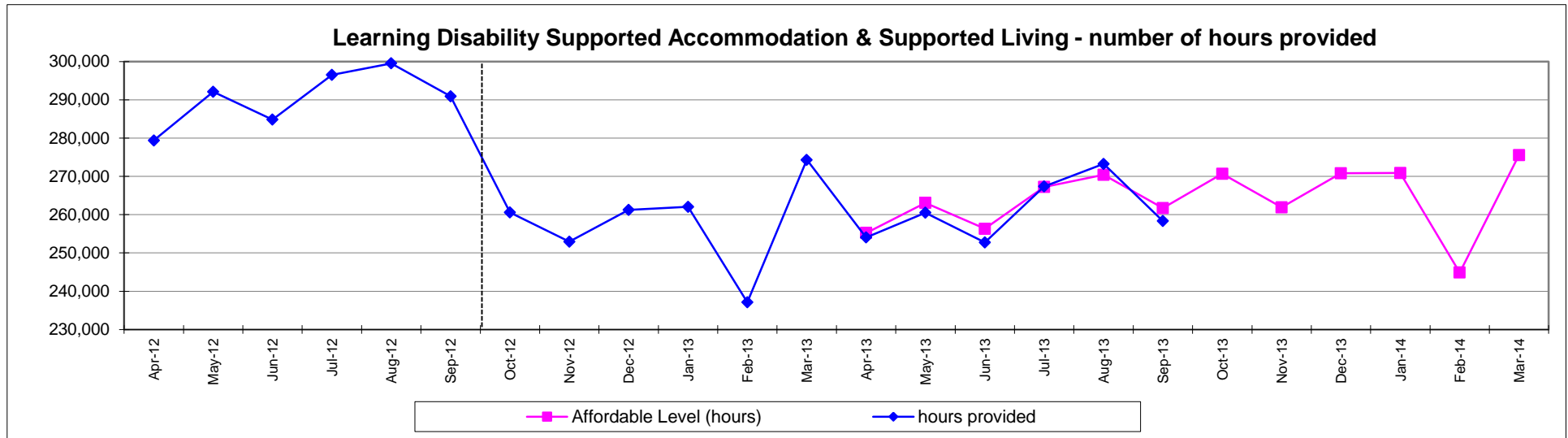
- The forecast unit cost of £403.05 is higher than the affordable cost of £400.60 and this difference of +£2.45 adds +£358k to the position when multiplied by the affordable weeks, as shown in table 1. This higher average unit cost is likely to be due to the higher proportion of clients with dementia, who are more costly due to the increased intensity of care required, as outlined above.



2.10 Learning Disability Supported Accommodation/Supported Living – numbers of clients and hours provided in the independent sector

	2012-13			2013-14		
	Affordable level (hours)	hours provided	number of clients	Affordable level (hours)	hours provided	number of clients
Apr		279,365	647	255,228	254,067	901
May		292,122	655	263,089	260,503	917
Jun		284,835	660	256,321	252,761	920
Jul		296,532	673	267,255	267,384	931
Aug		299,521	668	270,414	273,259	935
Sep		290,914	677	261,697	258,323	938
Oct		260,574	862	270,697		
Nov		252,932	869	261,922		
Dec		261,257	867	270,798		
Jan		262,070	873	270,874		
Feb		237,118	882	244,883		
Mar		274,334	895	275,556		
	0	3,291,574		3,168,734	1,566,297	





Comments:

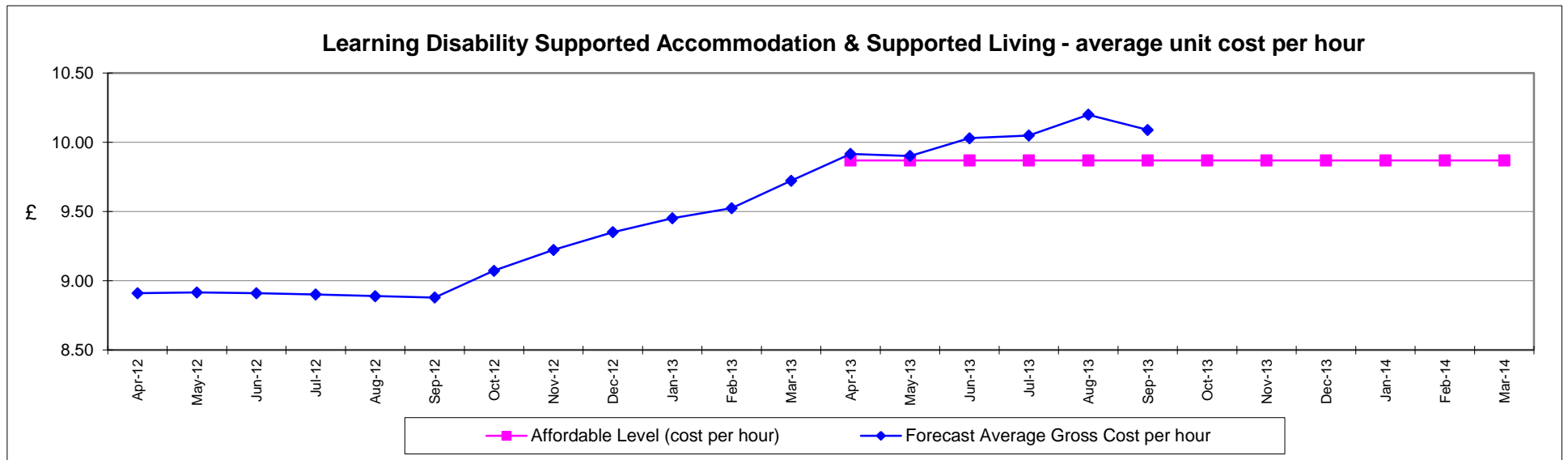
- This indicator has changed from 2013-14 to include the Supporting Independence Service contract. This measure now incorporates 3 different supported accommodation/living arrangements; the adult placement scheme, supported accommodation (mainly S256 clients) and Supporting Independence Service. The level of support required by individual clients can vary from a few hours a week to 24 hours a day therefore to better reflect the activity related to this indicator, the service is now recorded in hours rather than weeks. In addition, the details of the number of clients in receipt of these services will be given on a monthly basis.
- The Supporting Independence Service Contract was introduced in October 2012-13 and involved the transfer of specific clients previously in receipt of services categorised as domiciliary care, extra care sheltered housing and supported accommodation to this new contract. As part of this transfer, some clients chose to receive a direct payment instead. The result of this transfer was an overall net increase in the total number of clients categorised as receiving a supported accommodation/living support service however the average number of hours provided per client reduced. **A dotted line has been added to the graphs above to illustrate the introduction of the new Supporting Independence Service, and the consequent transfer of clients, as the data presented either side of the dotted line is not on a consistent basis and is therefore not directly comparable.**
- The current forecast is 3,230,965 hours of care against an affordable level of 3,168,734, a difference of +62,231 hours. Using the forecast unit cost of £10.09 this increase in activity increases the forecast by +£628k, as shown in table 1.
- To the end of September 1,566,297 hours of care have been delivered against an affordable level of 1,574,004, a difference of -7,707 hours. The forecast number of hours reflects an increase in activity expected in future months that is also reflected in the profile of the budgeted level. However, the current year to date activity still suggests a lower level of activity than forecast, which is mainly due to a delay in the recording of transitional and provisional clients on the activity database.

2.11 Average gross cost per hour of Supported Accommodation/Supported Living service compared with affordable level:

	2012-13		2013-14	
	Affordable Level (Cost per Hour) £p	Forecast Average Gross Cost per Hour £p	Affordable Level (Cost per Hour) £p	Forecast Average Gross Cost per Hour £p
Apr		8.91	9.87	9.92
May		8.92	9.87	9.90
Jun		8.91	9.87	10.03
Jul		8.90	9.87	10.05
Aug		8.89	9.87	10.20
Sep		8.88	9.87	10.09
Oct		9.07	9.87	
Nov		9.22	9.87	
Dec		9.35	9.87	
Jan		9.45	9.87	
Feb		9.53	9.87	
Mar		9.72	9.87	

Comments:

- This measure comprises 3 distinct client groups and each group has a very different unit cost, which are combined to provide an average unit cost for the purposes of this report.
- The costs associated with these placements will vary depending on the complexity of each case and the type of support required in each placement. This varies enormously between a domiciliary type support to life skills and daily living support.
- The forecast unit cost of £10.09 is higher than the affordable cost of £9.87 and this difference of +£0.22 increases the forecast by +£697k when multiplied by the affordable hours, as shown in table 1.



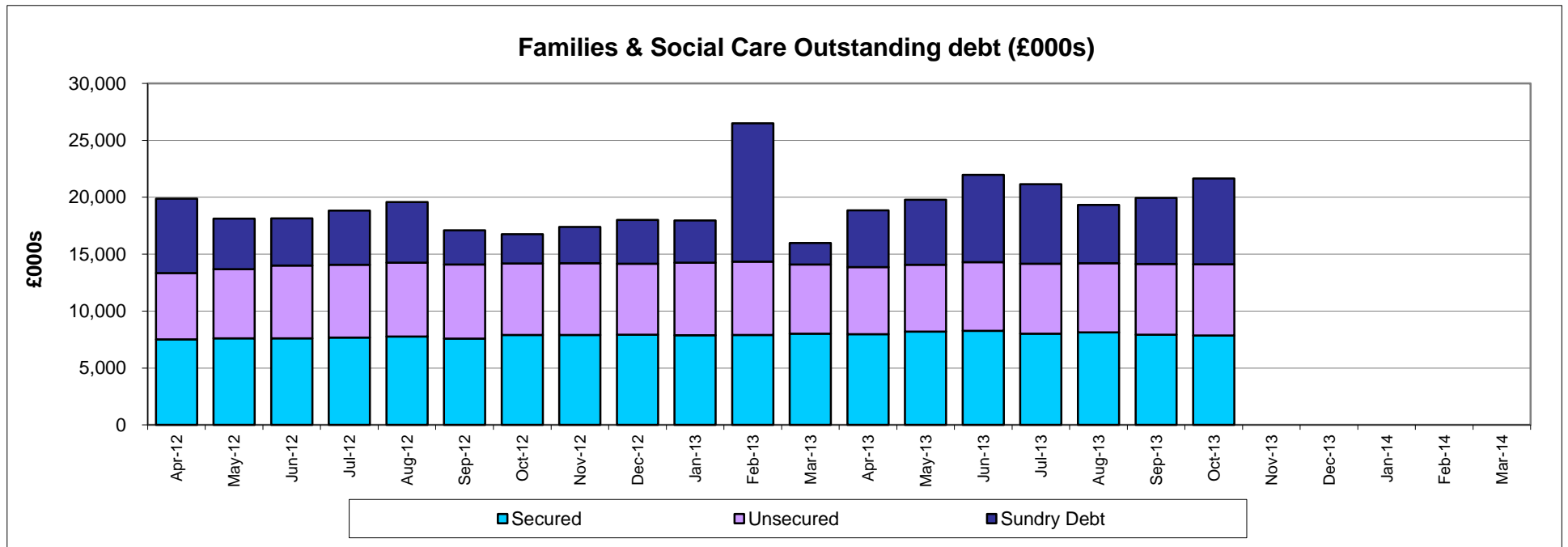
2.12 SOCIAL CARE DEBT MONITORING

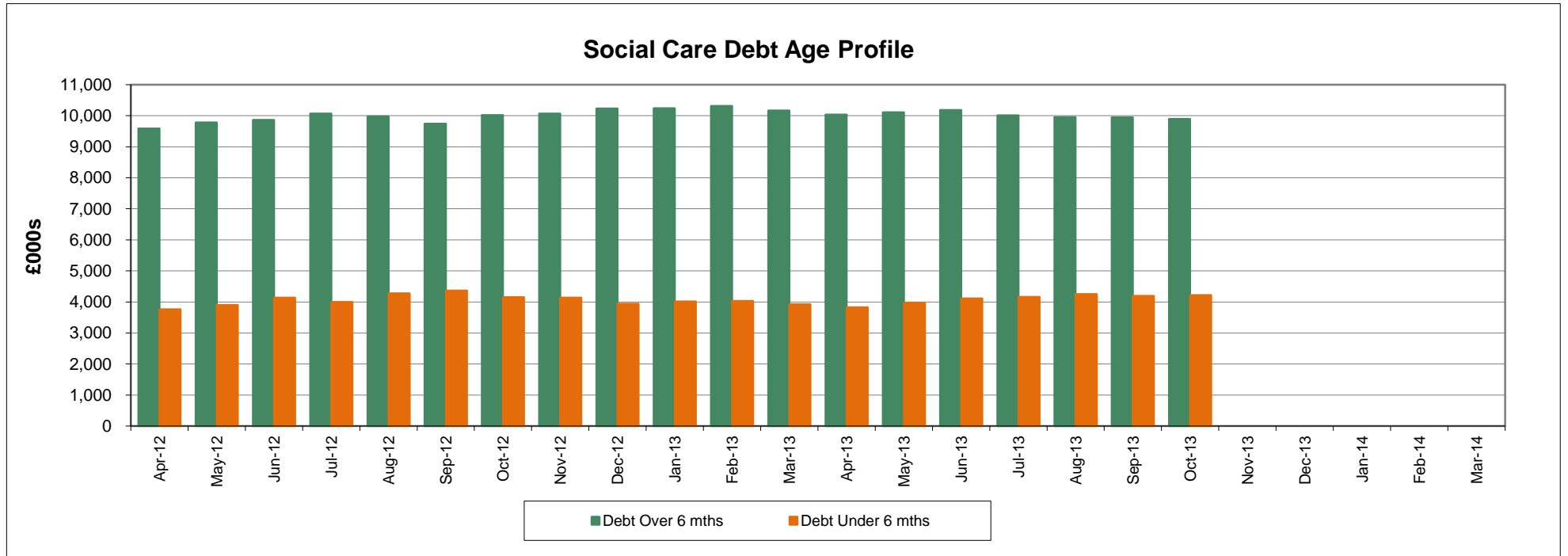
The outstanding debt as at the end of October was £21.646m compared with August's figure of £19.320m (reported to Cabinet in October) excluding any amounts not yet due for payment (as they are still within the 28 day payment term allowed). Within this figure is £7.533m of sundry debt compared to £5.116m in August. The amount of sundry debt can fluctuate for large invoices to Health. Also within the outstanding debt is £14.113m relating to Social Care (client) debt which is a small reduction of £0.091m from the last reported position to Cabinet in October. The following table shows how this breaks down in terms of age and also whether it is secured (i.e. by a legal charge on the client's property) or unsecured, together with how this month compares with previous months. For most months the debt figures refer to when the four weekly invoice billing run interfaces with Oracle (the accounting system) rather than the calendar month, as this provides a more meaningful position for Social Care Client Debt. This therefore means that there are 13 billing invoice runs during the year. The sundry debt figures are based on calendar months.

	Total Due Debt (Social Care & Sundry Debt) £000s	Sundry Debt £000s	Social Care Debt				
			Total Social Care Due Debt £000s	Debt Over 6 months £000s	Debt Under 6 months £000s	Secured £000s	Unsecured £000s
Apr-12	19,875	6,530	13,345	9,588	3,757	7,509	5,836
May-12	18,128	4,445	13,683	9,782	3,901	7,615	6,068
Jun-12	18,132	4,133	13,999	9,865	4,134	7,615	6,384
Jul-12	18,816	4,750	14,066	10,066	4,000	7,674	6,392
Aug-12	19,574	5,321	14,253	9,977	4,276	7,762	6,491
Sep-12	17,101	3,002	14,099	9,738	4,361	7,593	6,506
Oct-12	16,747	2,574	14,173	10,020	4,153	7,893	6,280
Nov-12	17,399	3,193	14,206	10,069	4,137	7,896	6,310
Dec-12	17,996	3,829	14,167	10,226	3,941	7,914	6,253
Jan-13	17,965	3,711	14,254	10,237	4,017	7,885	6,369
Feb-13	26,492	12,153	14,339	10,312	4,027	7,903	6,436
Mar-13	15,986	1,895	14,091	10,165	3,926	8,025	6,066
Apr-13	18,859	4,995	13,864	10,037	3,827	7,969	5,895
May-13	19,789	5,713	14,076	10,106	3,970	8,197	5,879
Jun-13	21,956	7,662	14,294	10,183	4,111	8,277	6,017
Jul-13	21,146	6,978	14,168	10,005	4,163	8,015	6,153
Aug-13	19,320	5,116	14,204	9,950	4,254	8,141	6,063
Sep-13	19,950	5,814	14,136	9,943	4,193	7,931	6,205

	Social Care Debt						
	Total Due Debt (Social Care & Sundry Debt) £000s	Sundry Debt £000s	Total Social Care Due Debt £000s	Debt Over 6 months £000s	Debt Under 6 months £000s	Secured £000s	Unsecured £000s
	Oct-13	21,646	7,533	14,113	9,896	4,217	7,867
Nov-13							
Dec-13							
Jan-14							
Feb-14							
Mar-14							

In addition the previously reported secured and unsecured debt figures for April 2012 to July 2012 were amended slightly between the 2012-13 Quarter 1 and Quarter 2 reports following a reassessment of some old debts between secured and unsecured.





3. CAPITAL

3.1 The Families and Social Care Directorate - Adult Social Care & Public Health Portfolio has a working budget for 2013-14 of £12,359k. The forecast outturn against the 2013-14 budget is £5,417k giving a variance of - £6,942k.

3.2 **Table 2** below details the FSC Adult Services Capital Position by Budget Book line.

Budget Book Heading	Three year cash limit (£000)	2013-14 Working Budget (£000)	2013-14 Variance (£000)	Variance Break-down (£000)	Rephasing / Real Variance and Funding Stream	Explanation of In-Year Variance	Project Status ¹	Explanation of Project Status	Actions
Rolling Programmes									
Asset Modernisation	0	373	-373	-373	Rephasing	Projects reprofiled to 14/15	Green		
Home Support Fund	6,600	2,474	0	0			Green		
Individual Projects									
Kent Strategy for Services for Older People (OP):									
Community Care Centre - Ebbsfleet	544	0	0	0			Green		
Community Care Centre - Thameside Eastern Quarry	500	0	0	0			Green		
OP Strategy - Transformation / Modernisation	7,800	762	-48	-48	Rephasing		Green		
Kent Strategy for Services for People with Learning Difficulties/Physical Disabilities:									
Learning Disability Good Day Programme-Community Hubs	3,318	2,609	-1,287	-195	Real - PEF2	Various schemes - Service needs have changed or been provided through other means, budget no longer required.	Green		Reduce cash limits by £319k
				-124	Real - Capital receipts				
				-968	Rephasing				

Budget Book Heading	Three year cash limit (£000)	2013-14 Working Budget (£000)	2013-14 Variance (£000)	Variance Break-down (£000)	Rephasing / Real Variance and Funding Stream	Explanation of In-Year Variance	Project Status ¹	Explanation of Project Status	Actions
Learning Disability Good Day Programme- Community Initiatives	2,430	2,477	-1,780	-1,490	Real - Capital receipts	£132k - Contribution reduced - budget no longer required. £1,298k Hydrotherapy project no longer proceeding. £60k virement requested to C&C for Swanley Gateway.	Green		Reduce 13/14 cash limits by £1,430k only until virement has been signed
				-290	Rephasing	Various schemes - looking at consultation 3rd quarter of 2013 therefore rephasing spend to 14/15	Amber - delayed		
Rusthall	0	45	-45	-45	Rephasing		Green		
Mental Health Strategy	264	264	-264	-264	Rephasing	Various smaller schemes less than £100k rephased to 14-15	Amber - delayed		
Active Care / Active Lives Strategy:									
PFI - Excellent Homes for All	66,800	0	0	0			Green		
Developing Innovative and Modernising Services:									
Lowfield St (formerly Trinity Centre, Dartford)	1,073	450	-351	-351	Rephasing	Rephasing to 14/15 due to delays in acquiring planning permission - new planning application submitted by developer.	Amber - delayed		
Information Technology Projects e.g. Swift Development / Mobile Working	2,477	2,178	-2,067	-2,067	Rephasing	Projects reprofiled to 14/15	Green		

ANNEX 3

Budget Book Heading	Three year cash limit (£000)	2013-14 Working Budget (£000)	2013-14 Variance (£000)	Variance Break-down (£000)	Rephasing / Real Variance and Funding Stream	Explanation of In-Year Variance	Project Status ¹	Explanation of Project Status	Actions
Public Access Development	1,052	727	-727	-364	Real - Prudential		Green	Business case reviewed - no plans to progress - budget no longer required.	Reduce 13/14 cash limits by £727k
				-250	Real - Grant				
				-113	Real -Capital Receipts				
Total	92,858	12,359	-6,942	-6,942					

1. Status:

Green – on time and within budget

Amber – either delayed completion date or over budget

Red – both delayed completion and over budget

BUSINESS STRATEGY & SUPPORT DIRECTORATE SUMMARY
PUBLIC HEALTH SUMMARY
SEPTEMBER 2013-14 MONITORING REPORT

1. REVENUE

1.1		Cash Limit	Variance Before transfer to Public Health Reserve	Transfer to Public Health Reserve	Net Variance after transfer to Public Health Reserve
	Total (£k)	+384	-815	+450	-365

1.2 **Table 1** below details the revenue position by A-Z budget:

Budget Book Heading	Cash Limit			Variance	Explanation	Management Action/ Impact on MTFP
	Gross	Income	Net	Net		
	£'000	£'000	£'000	£'000	£'000	
Adult Social Care & Public Health portfolio						
<u>Public Health:</u>						
- Public Health Management & Support	441.3	-57.0	384.3	-365	-365	Underspend against KCC budget as costs are reflected against the grant in the service lines below, mainly Public Health Staffing & Related Costs
- Children's Public Health Programmes	6,346.4	-6,346.4	0.0	0		
- Drug & Alcohol Services	662.7	-662.7	0.0	0		
- Healthy Weight	2,516.4	-2,516.4	0.0	0		
- NHS Health Check Programme	2,321.8	-2,321.8	0.0	0		
- Other Public Health Services	5,746.1	-5,746.1	0.0	0		
- Public Health Staffing & Related Costs	4,585.5	-4,585.5	0.0	-450	-450	<i>PH grant variance: slippage on recruitment and vacancy savings</i>
- Sexual Health Services	12,538.6	-12,538.6	0.0	0		
- Stop Smoking Services & Interventions	2,688.0	-2,688.0	0.0	0		
- Tobacco Control	600.0	-600.0	0.0	0		
	38,446.8	-38,062.5	384.3	-815		

Budget Book Heading	Cash Limit			Variance	Explanation		Management Action/ Impact on MTFP
	Gross	Income	Net	Net			
	£'000	£'000	£'000	£'000	£'000		
- <i>tr to(+)/from(-) Public Health reserve</i>				+450	+450	<i>Transfer of underspend on staffing to reserve</i>	
Total ASC&PH portfolio (Public Health)	38,446.8	-38,062.5	384.3	-365			

2. KEY ACTIVITY INDICATORS AND BUDGET RISK ASSESSMENT MONITORING

- 2.1 As the majority of services are commissioned from providers on a block contract basis there will be little or no variation in terms of actual expenditure during 2013-14. The decision to commission on a block contract basis was taken to ensure continuity of services in this transitional period. It is expected that the use of block contracts next year will be significantly reduced as services are re-commissioned based on activity and payment by results; the experience gained within the Division during 2013-14 will also inform this process. Until that time no activity indicators are reported for Public Health.